

# **Saturation: Recent Developments, New Ideas and Measurements**

Andrey Tarasov



Synergies of pp and pA Collisions with an Electron-Ion Collider  
RIKEN BNL Research Center Workshop  
June 26-28, 2017 at Brookhaven National Laboratory

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**RIKEN/RBRC Workshop**  
Saturation: Recent Developments,  
New Ideas and Measurements  
April 26-28, 2017

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Vladimir Skokov (RBRC)  
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Research Center Workshop**  
Volume 129



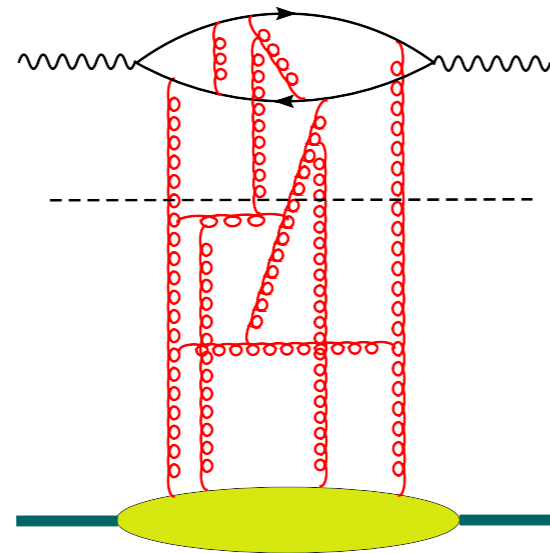
- Small  $x$  evolution and hadron production at NLO
- Spin at small  $x$
- TMD physics
- Small- $x$  physics in  $e+p$  and  $e+A$  DIS
- Particle production in  $pA$
- **Correlations**

**27 Presentations in 3 days**

- I. Balitsky.....*Higher-twist corrections to gluon TMD factorization*  
G. Beuf.....*Full NLO corrections for DIS structure functions in the dipole factorization formalism*  
S. Caron-Huot.....*Linear and nonlinear small- $x$  evolution in perturbation theory*  
S. Caron-Huot.....*Nuclear Theory/RIKEN Seminar: Analyticity in Spin and Causality in Conformal Theories*  
G. Chirilli.....*Rapidity factorization of high-energy scattering processes at NLO*  
A. Dumitru.....*Fluctuations of the gluon distribution at small- $x$ : correlation of multiplicity and transverse momentum fluctuations*  
K. Dusling.....*Collectivity from the initial state: four-particle correlations in proton-nucleus collisions*  
K. Fukushima.....*Particle production in CGC*  
E. Iancu.....*Particle production in proton-nucleus collisions beyond leading order*  
J. Jia.....*Flow in small systems*  
D. Kharzeev.....*Deep inelastic scattering as a probe of entanglement*  
Y. Kovchegov.....*Small- $x$  asymptotics of the quark helicity distribution*  
A. Kovner.....*Exploring correlations in the CGC wave function: odd azimuthal anisotropy*  
M. Lublinsky.....*From light-cone wave function to NLO JIMWLK*  
D. Neill.....*Finding small- $x$  like evolution in QCD final state dynamics: the problem of non-global logarithms*  
P. Newman.....*Low- $x$  physics and saturation studies for the Large Hadron Electron Collider*  
A. Ogawa.....*Polarized  $p+A$  physics at forward rapidity at STAR*  
R. Paatelainen.....*Toward higher-order accuracy in LCPT*  
T. Peitzmann.....*Opportunities for forward photon measurements in ALICE at the LHC*  
A. Rezaeian.....*Elliptic flow from color-dipole orientation in  $pp$  and  $pA$  collisions*  
C. Royon.....*Forward jets at HERA and Mueller-Navelet and jet gap jet events at Tevatron and LHC*  
B. Schenke.....*Subnucleonic fluctuations, diffraction, and small- $x$  evolution*  
S. Schlichting.....*Event-by-event pre-equilibrium dynamics — from gluon saturation toward the onset of hydrodynamics*  
M. Sievert.....*Quark helicity evolution at small- $x$*   
A. Stasto.....*Low- $x$  physics and prompt neutrino production*  
D. Tapia-Takaki.....*Studying gluon saturation and nuclear effects using forward heavy-ion probes and UPCs at LHC*  
R. Venugopalan.....*Ridge-like correlations in small systems: status and problems*

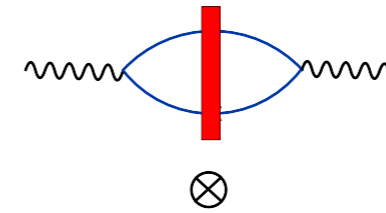
Fast fields are  
quantum fields

Slow fields are  
classical fields

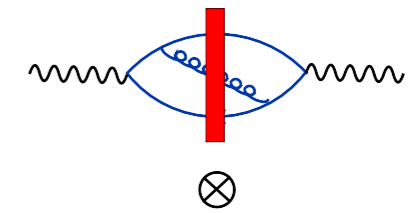


$Y > \eta$

$Y < \eta$



+



+...

Rapidity factorization  
approach

I. Balitsky, G.A. Chirilli

$$T\{\hat{j}_\mu(x)\hat{j}_\nu(y)\} = \int d^2z_1 d^2z_2 I_{\mu\nu}^{\text{LO}}(z_1, z_2, x, y) \text{tr}[\{\hat{U}_{z_1}^\eta \hat{U}_{z_2}^{\dagger\eta}\}]^{\text{conf}} \\ + \int d^2z_1 d^2z_2 d^2z_3 I_{\mu\nu}^{\text{NLO}}(z_1, z_2, z_3, x, y) \left[ \frac{1}{N_c} \text{tr}\{\hat{U}_{z_1}^\eta \hat{U}_{z_3}^{\dagger\eta}\} \text{tr}\{\hat{U}_{z_3}^\eta \hat{U}_{z_2}^{\dagger\eta}\} - \text{tr}\{\hat{U}_{z_1}^\eta \hat{U}_{z_2}^{\dagger\eta}\} \right]$$

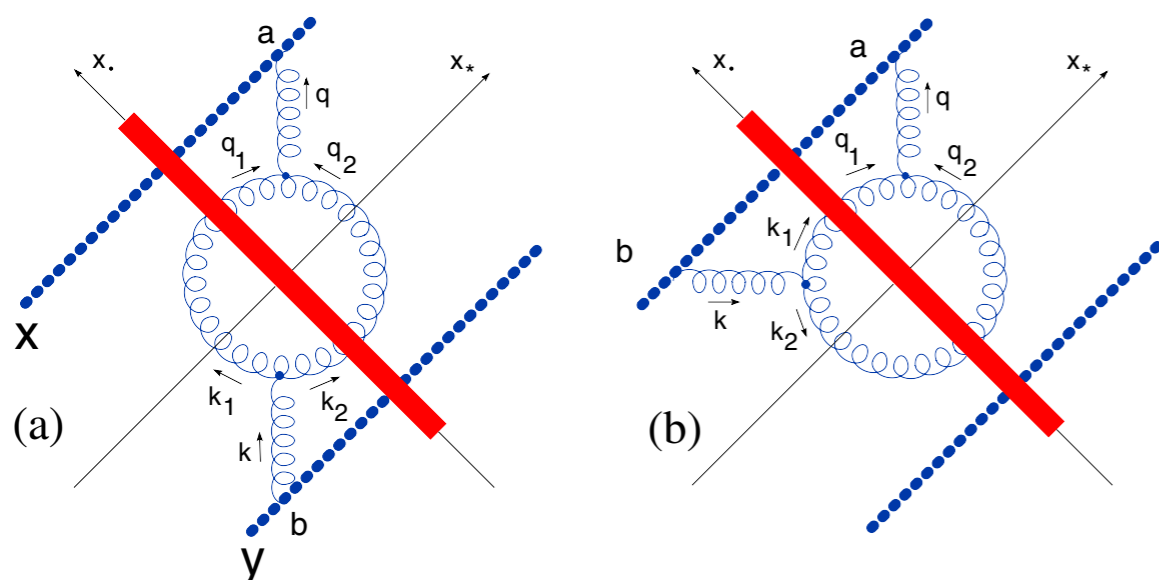
Impact factor

Wilson lines

NLO evolution of conformal dipoles in QCD

$$\begin{aligned}
 2a \frac{d}{da} [\text{tr}\{\hat{U}_{z_1} U_{z_2}^\dagger\}]^{\text{conf}} &= \frac{\alpha_s}{2\pi^2} \int d^2 z_3 \left( [\text{tr}\{\hat{U}_{z_1} \hat{U}_{z_3}^\dagger\} \text{tr}\{\hat{U}_{z_3} \hat{U}_{z_2}^\dagger\} - N_c \text{tr}\{\hat{U}_{z_1} \hat{U}_{z_2}^\dagger\}]^{\text{conf}} \right. \\
 &\times \frac{z_{12}^2}{z_{13}^2 z_{23}^2} \left[ 1 + \frac{\alpha_s N_c}{4\pi} \left( b \ln z_{12}^2 \mu^2 + b \frac{z_{13}^2 - z_{23}^2}{z_{13}^2 z_{23}^2} \ln \frac{z_{13}^2}{z_{23}^2} + \frac{67}{9} - \frac{\pi^2}{3} \right) \right] \\
 &+ \frac{\alpha_s}{4\pi^2} \int \frac{d^2 z_4}{z_{34}^4} \left\{ \left[ -2 + \frac{z_{14}^2 z_{23}^2 + z_{24}^2 z_{13}^2 - 4z_{12}^2 z_{34}^2}{2(z_{14}^2 z_{23}^2 - z_{24}^2 z_{13}^2)} \ln \frac{z_{14}^2 z_{23}^2}{z_{24}^2 z_{13}^2} \right] \right. \\
 &\times [\text{tr}\{\hat{U}_{z_1} \hat{U}_{z_3}^\dagger\} \text{tr}\{\hat{U}_{z_3} \hat{U}_{z_4}^\dagger\} \text{tr}\{\hat{U}_{z_4} \hat{U}_{z_2}^\dagger\} - \text{tr}\{\hat{U}_{z_1} \hat{U}_{z_3}^\dagger \hat{U}_{z_4} \hat{U}_{z_2}^\dagger \hat{U}_{z_3} \hat{U}_{z_4}^\dagger\} - (z_4 \rightarrow z_3)] \\
 &+ \frac{z_{12}^2 z_{34}^2}{z_{13}^2 z_{24}^2} \left[ 2 \ln \frac{z_{12}^2 z_{34}^2}{z_{14}^2 z_{23}^2} + \left( 1 + \frac{z_{12}^2 z_{34}^2}{z_{13}^2 z_{24}^2 - z_{14}^2 z_{23}^2} \right) \ln \frac{z_{13}^2 z_{24}^2}{z_{14}^2 z_{23}^2} \right] \\
 &\times [\text{tr}\{\hat{U}_{z_1} \hat{U}_{z_3}^\dagger\} \text{tr}\{\hat{U}_{z_3} \hat{U}_{z_4}^\dagger\} \text{tr}\{\hat{U}_{z_4} \hat{U}_{z_2}^\dagger\} - \text{tr}\{\hat{U}_{z_1} \hat{U}_{z_4}^\dagger \hat{U}_{z_3} \hat{U}_{z_2}^\dagger \hat{U}_{z_4} \hat{U}_{z_3}^\dagger\} - (z_4 \rightarrow z_3)] \left. \right\}
 \end{aligned}$$

I. Balitsky, G.A. Chirilli



This result was obtained in rapidity factorization approach

What about other operators?

# Michael Lublinsky

## From light-cone wave function to NLO JIMWLK

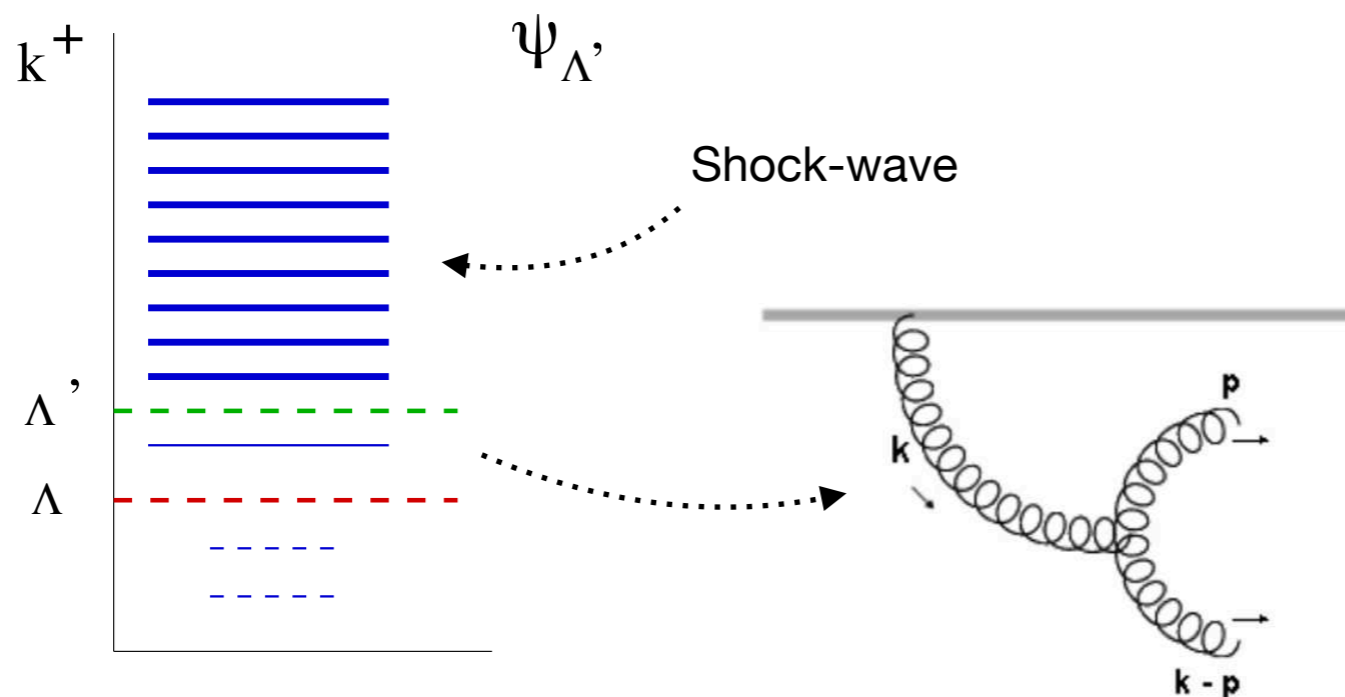
Presented new results on calculation of H at NLO order

The result can be used for any operator

$$\partial_Y [S^{ab}(x)] = -H^{\text{NLO JIMWLK}} [S^{ab}(x)]$$

$$\partial_Y [S^{ab}(x)S^{cd}(y)] = -H^{\text{NLO JIMWLK}} [S^{ab}(x)S^{cd}(y)]$$

$$\partial_Y [S^{ab}(x)S^{cd}(y)S^{ef}(z)] = -H^{\text{NLO JIMWLK}} [S^{ab}(x)S^{cd}(y)S^{ef}(z)]$$



The form of the operator was first obtained by comparison with other results

A. Kovner, M. Lublinsky, Y. Mulian

Consider gluon emission at NLO

$$|\Psi_{\text{NLO}}\rangle = \mathcal{N} |0\rangle + \sum_i |i\rangle \left[ -\frac{\langle i | H_{\text{int}} | 0 \rangle}{E_i} + \frac{\langle i | H_{\text{int}} | j \rangle \langle j | H_{\text{int}} | 0 \rangle}{E_i E_j} + \right. \\ \left. + \frac{\langle i | H_{\text{int}} | 0 \rangle \langle j | H_{\text{int}} | 0 \rangle^2 (2 E_j - E_i)}{2 E_i^2 E_j^2} - \frac{\langle i | H_{\text{int}} | j \rangle \langle j | H_{\text{int}} | k \rangle \langle k | H_{\text{int}} | 0 \rangle}{E_i E_j E_k} \right]$$

# Guillaume Beuf

## Full NLO corrections for DIS structure functions in the dipole factorization formalism

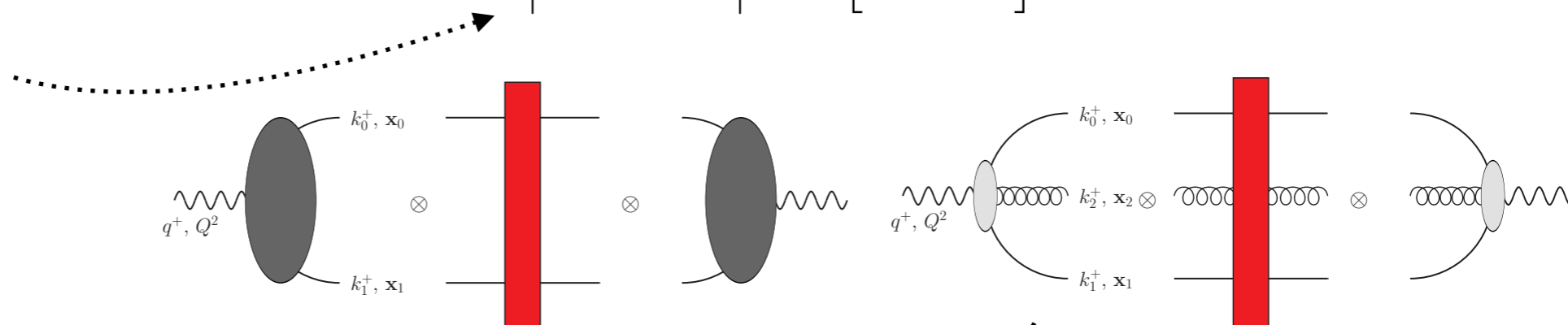
Now the evolution at NLO is known. What about observables?

$$\begin{aligned} \sigma_{\lambda}^{\gamma} = & 2N_c \sum_{q_0 \bar{q}_1 \text{ F. states}} \frac{2\pi\delta(k_0^+ + k_1^+ - q^+)}{2q^+} \left| \tilde{\psi}_{\gamma\lambda \rightarrow q_0 \bar{q}_1} \right|^2 \text{Re} [1 - \mathcal{S}_{01}] \\ & + 2N_c C_F \sum_{q_0 \bar{q}_1 g_2 \text{ F. states}} \frac{2\pi\delta(k_0^+ + k_1^+ + k_2^+ - q^+)}{2q^+} \\ & \times \left| \tilde{\psi}_{\gamma\lambda \rightarrow q_0 \bar{q}_1 g_2} \right|^2 \text{Re} [1 - \mathcal{S}_{012}^{(3)}] + \dots \end{aligned}$$

Calculated LF wave function

Demanding calculation with cumbersome expressions

Showed cancellation of UV divergencies



Typical diagram

Explicit dependence on the cut-off parameter

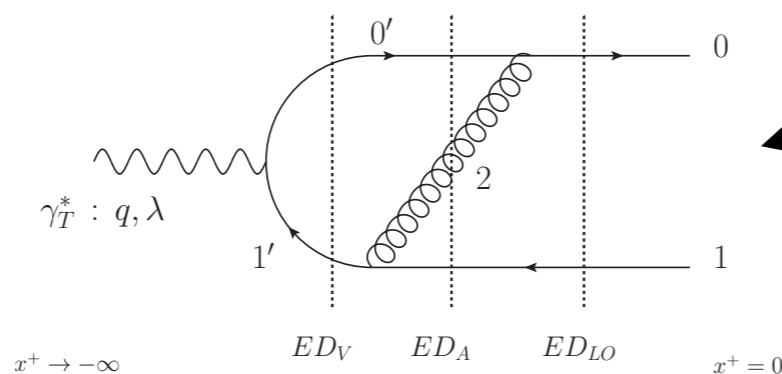


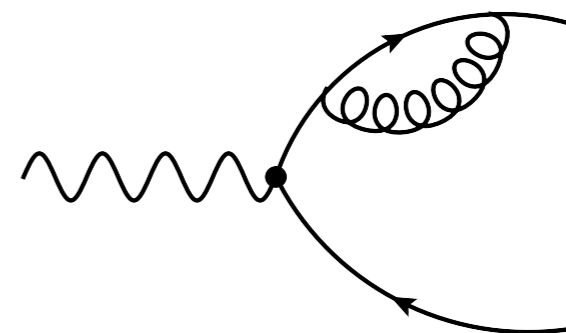
Diagram 1

# Risto Paatelainen

## Towards higher-order accuracy in LCPT

LCPT calculations in CGC slowly approaching the NLO level.  
Perturbative computation of wave functions is quite tedious  
(hard to automatize)

Introduce a new helicity formulation for LCPT. Perturbative  
(NLO) computation of wave functions is easy and can be fully  
automatized



One should take care of UV divergencies. Applied  
new Four Dimensional Helicity (FDH) scheme (T.  
Lappi & R. Paatelainen)

$$\psi_{(\text{full})}^{\gamma_T^* \rightarrow q\bar{q}} \Big|_{\text{FDH}} = \psi_{\text{LO}}^{\gamma_T^* \rightarrow q\bar{q}}(\mathbf{p}, z) \left( \frac{g_R^2 C_F}{8\pi^2} \right) \left\{ \left[ \frac{3}{2} + \log\left(\frac{\alpha}{z}\right) + \log\left(\frac{\alpha}{1-z}\right) \right] C_{\text{full}} \right. \\ \left. + \frac{1}{2} \log^2\left(\frac{z}{1-z}\right) - \frac{\pi^2}{3} + \frac{5}{2} - \log(z(1-z)) \right\} + \left( \frac{g_R^2 C_F}{8\pi^2} \right) \times \Pi + \mathcal{O}(\varepsilon)$$

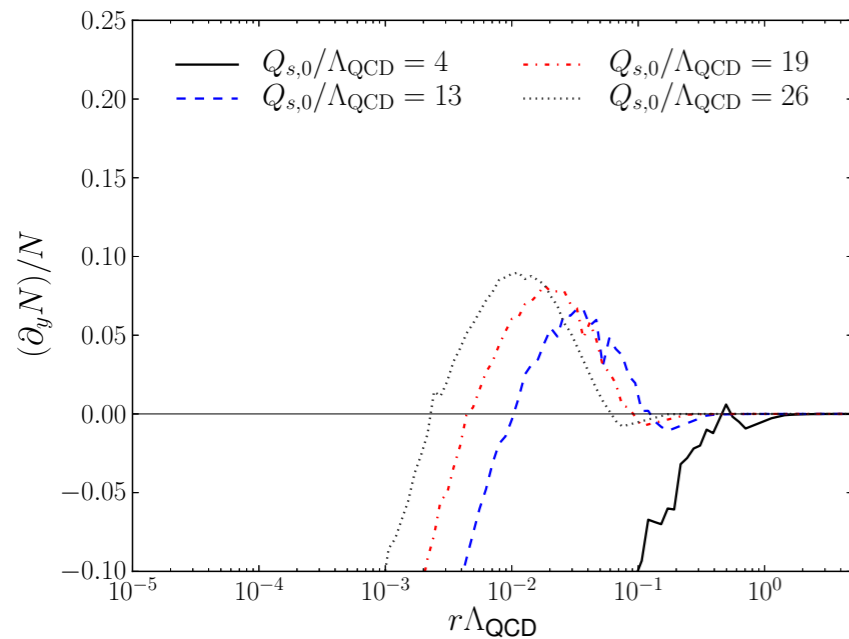
Results from two different  
schemes

$$\psi_{(\text{full})}^{\gamma_T^* \rightarrow q\bar{q}} \Big|_{\text{CDR}} = \psi_{\text{LO}}^{\gamma_T^* \rightarrow q\bar{q}}(\mathbf{p}, z) \left( \frac{g_R^2 C_F}{8\pi^2} \right) \left\{ \left[ \frac{3}{2} + \log\left(\frac{\alpha}{z}\right) + \log\left(\frac{\alpha}{1-z}\right) \right] C_{\text{full}} \right. \\ \left. + \frac{1}{2} \log^2\left(\frac{z}{1-z}\right) - \frac{\pi^2}{3} + \frac{5}{2} + \frac{1}{2} \right\} + \mathcal{O}(\varepsilon)$$

## Edmond lancu

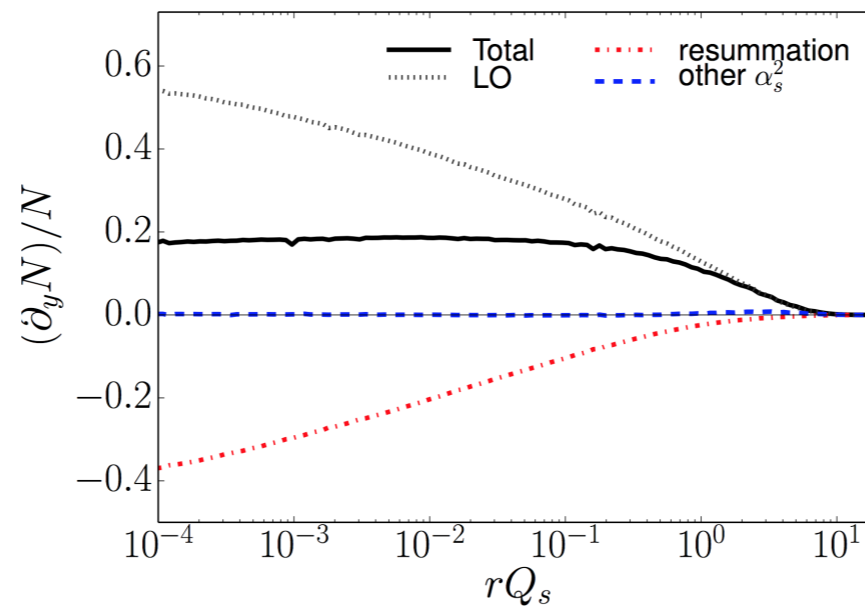
### Particle production in pA collisions beyond leading order

#### “Negative growth” of the dipole scattering amplitude



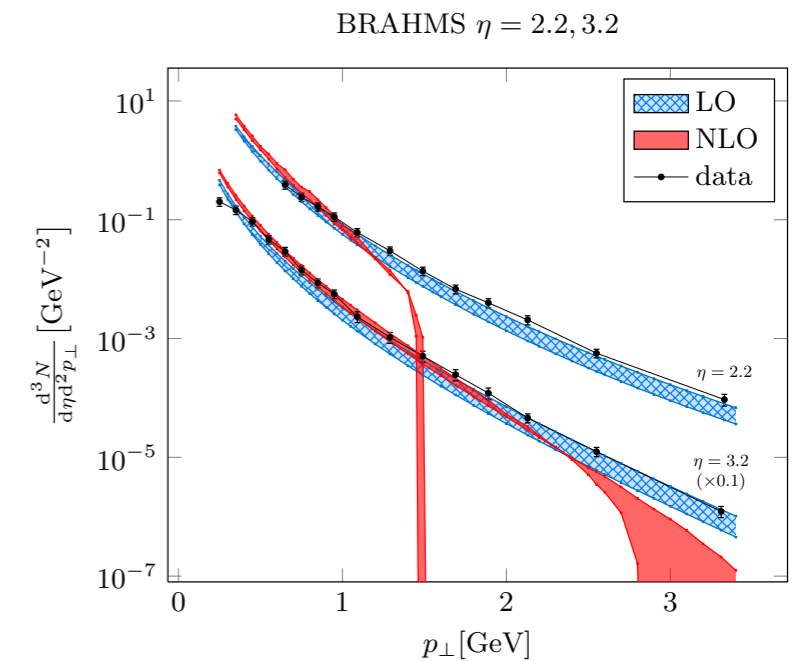
Lappi, Mäntysaari, arXiv:1502.02400

#### Collinear improvement of NLO BK



Lappi, Mäntysaari, arXiv:1601.06598

#### Negative at large $p_T$



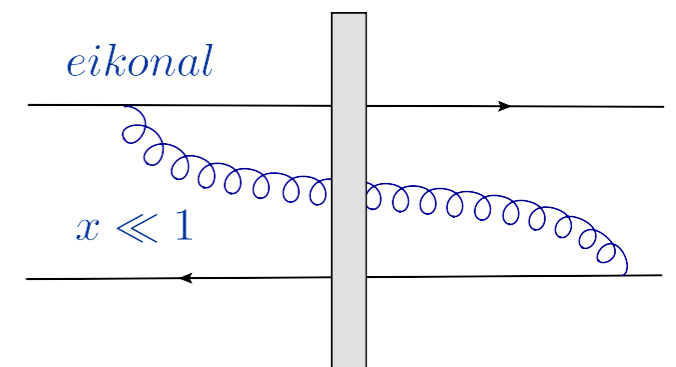
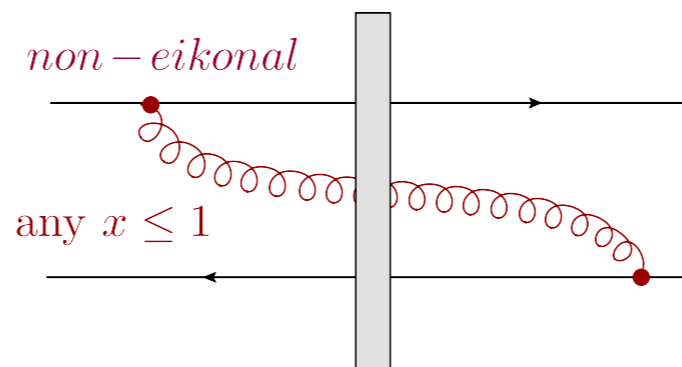
Stasto, Xiao, and Zaslavsky, arXiv:1307.4057

#### Rapidity subtraction in NLO impact factor

Kang, Vitev, and Xing, arXiv:1403.5221

Altinoluk, Armesto, Beuf, Kovner, and Lublinsky, arXiv:1411.2869

Ducloué, Lappi, and Zhu, arXiv:1604.00225



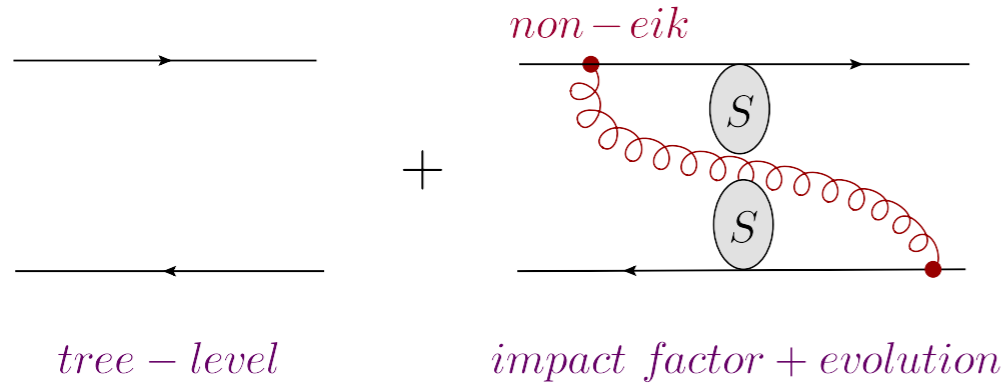
A reorganization of the perturbative expansion which avoids the rapidity subtraction (E.I., A. Mueller and D. Triantafyllopoulos, 2016)

Rapidity subtraction in  $k_T$  factorization (G. A. Chirilli, B.-W. Xiao, F. Yuan)

## Edmond lancu

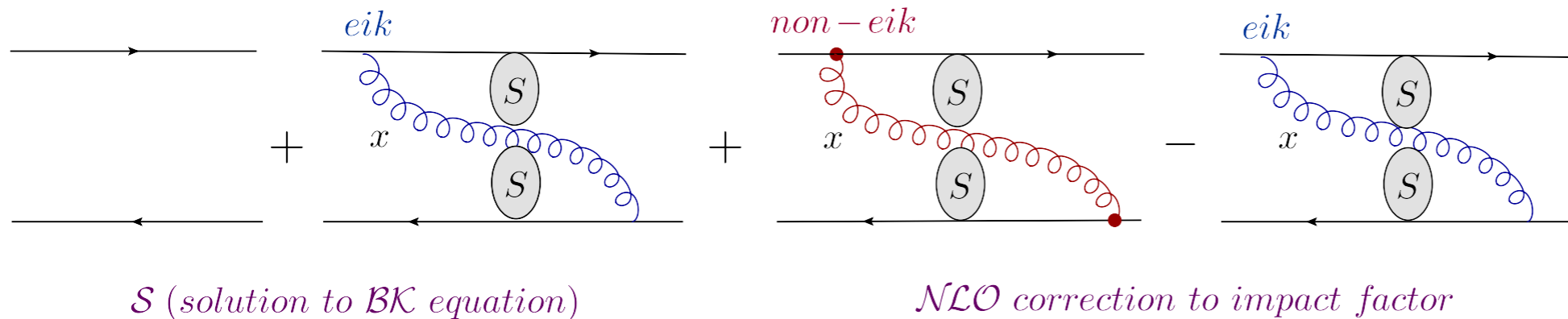
### Particle production in pA collisions beyond leading order

The strict separation between a ‘LO result’ and ‘NLO corrections’ involves a high degree of fine tuning, leading to instabilities in the presence of seemingly innocuous additional approximations

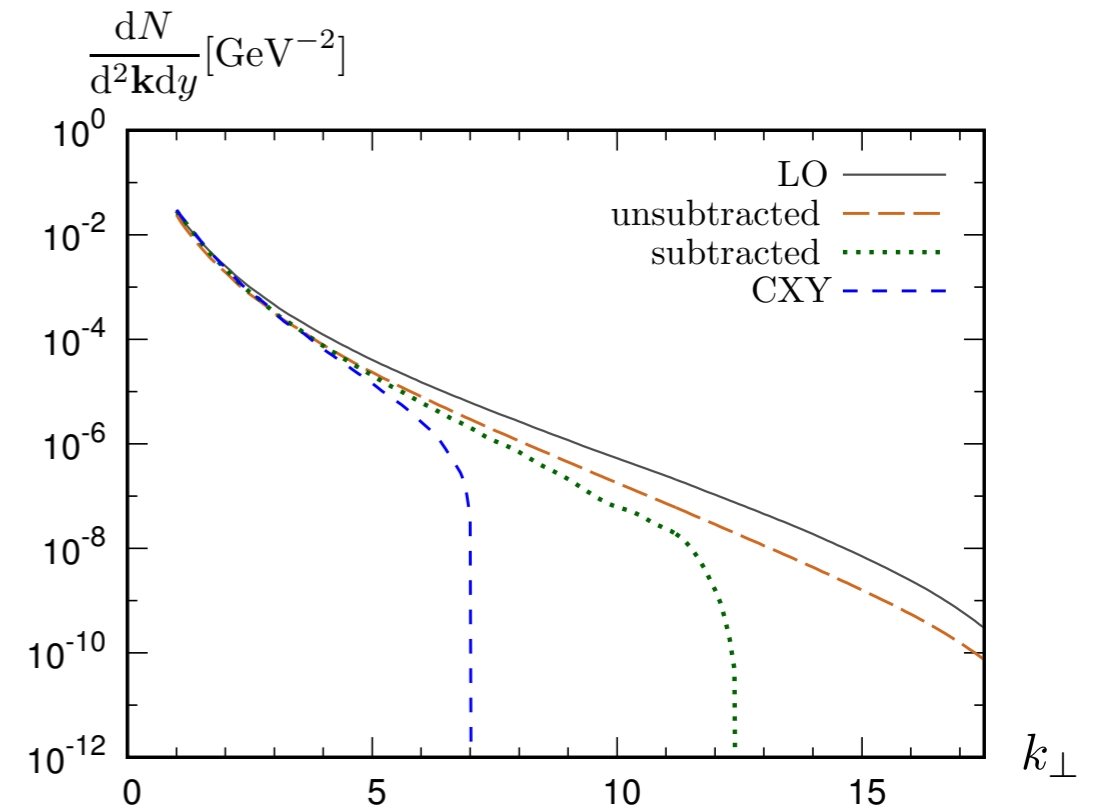


$$\frac{dN}{d\eta d^2\mathbf{k}} = \mathcal{S}_0(\mathbf{k}) + \bar{\alpha}_s \int_{X_g}^1 \frac{dx}{x} \mathcal{K}(\mathbf{k}; x) \mathcal{S}(\mathbf{k}, X(x))$$

Compute emission with exact kinematics



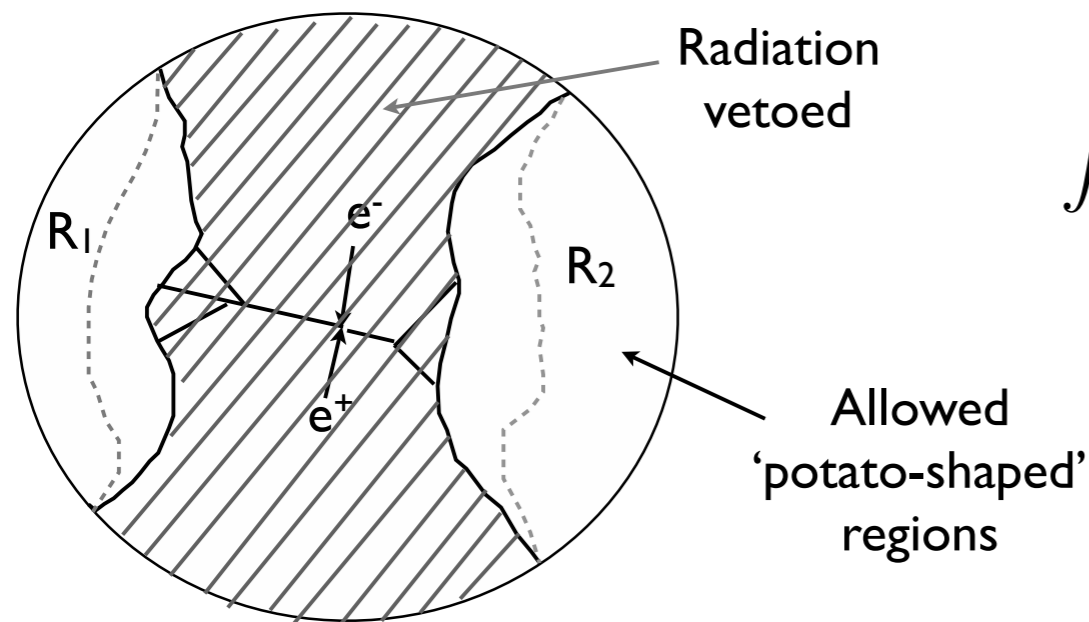
$$\frac{dN}{d\eta d^2\mathbf{k}} = \mathcal{S}(\mathbf{k}, X_g) + \bar{\alpha}_s \int_{X_g}^1 \frac{dx}{x} [\mathcal{K}(x) - \mathcal{K}(0)] \mathcal{S}(\mathbf{k}, X(x))$$



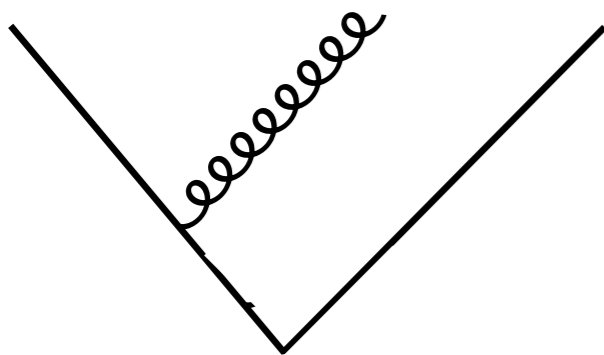
Sensible physical results:  
positive cross-section, but  
smaller than at LO

# Simon Caron-Huot

Linear and non-linear small-x evolution in pQCD



Look at this diagram in terms of energy and angular distribution



Presented result on two- and three-loop evolution for non-global logarithms

$$\int d\text{Lips}(p_0) |M_3|^2 \rightarrow |M_2|^2 \int_{E_0}^Q \frac{dp_0}{p_0} \int \frac{d\Omega}{4\pi} \frac{\alpha_{12}}{\alpha_{10}\alpha_{02}} \sim \log(Q/E_{\text{cut}})$$

Non-global logarithm

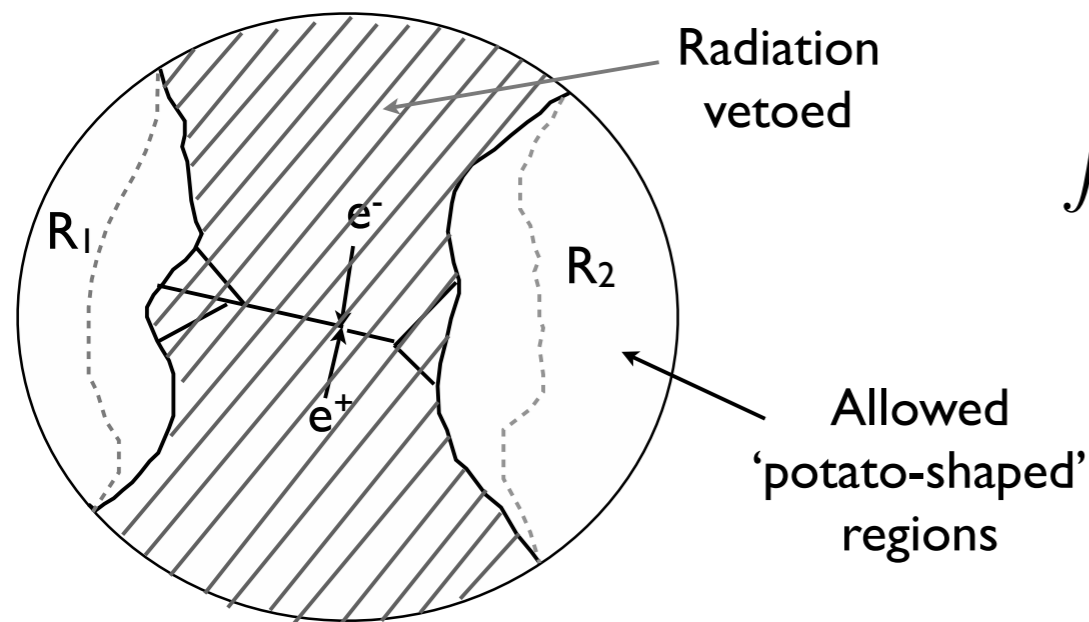
Exploits equivalence with the physics of soft wide-angle radiation, so-called non-global logarithms

$$E \frac{d}{dE} U_{12} = \frac{\lambda}{8\pi^2} \int \frac{d^2\Omega_0}{4\pi} \frac{\alpha_{12}}{\alpha_{10}\alpha_{02}} (U_{10}U_{02} - U_{12})$$

duality

$$\frac{d}{d\eta} U_{12} = \frac{\lambda}{8\pi^2} \int \frac{d^2z_0}{\pi} \frac{z_{12}^2}{z_{10}z_{02}} (U_{10}U_{02} - U_{12})$$

For two loops coincide with the result obtained in rapidity factorization and LCPT approaches



$$\int d\text{Lips}(p_0) |M_3|^2 \rightarrow |M_2|^2 \int_{E_0}^Q \frac{dp_0}{p_0} \int \frac{d\Omega}{4\pi} \frac{\alpha_{12}}{\alpha_{10}\alpha_{02}} \sim \log(Q/E_{\text{cut}})$$

Non-global logarithm

Exploits equivalence with the physics of soft wide-angle radiation, so-called non-global logarithms

Used duality to construct NLO evolution and NNLO evolution kernel in  $N = 4$  SYM.

$$\begin{aligned} K^{(2)} = & \int_{i,j,k} \int \frac{d^2\Omega_0}{4\pi} \frac{d^2\Omega_{0'}}{4\pi} K_{ijk;00'}^{(2)\ell} i f^{abc} \left( L_{i;0}^a L_{j;0'}^b R_k^c - R_{i;0}^a R_{j;0'}^b L_k^c \right) \\ & + \int_{i,j} \int \frac{d^2\Omega_0}{4\pi} \frac{d^2\Omega_{0'}}{4\pi} K_{ij;00'}^{(2)N=4,\ell} \left( f^{abc} f^{a'b'c'} U_0^{bb'} U_{0'}^{cc'} - \frac{C_A}{2} (U_0^{aa'} + U_{0'}^{aa'}) \right) (L_i^a R_j^{a'} + R_i^{a'} L_j^a) \\ & + \int_{i,j} \int \frac{d^2\Omega_0}{4\pi} \frac{\alpha_{ij}}{\alpha_{0i}\alpha_{0j}} \gamma_K^{(2)} (R_{i;0}^a L_j^a + L_{i;0}^a R_j^a) + K^{(2)N \neq 4}. \end{aligned} \quad (3.32)$$

known

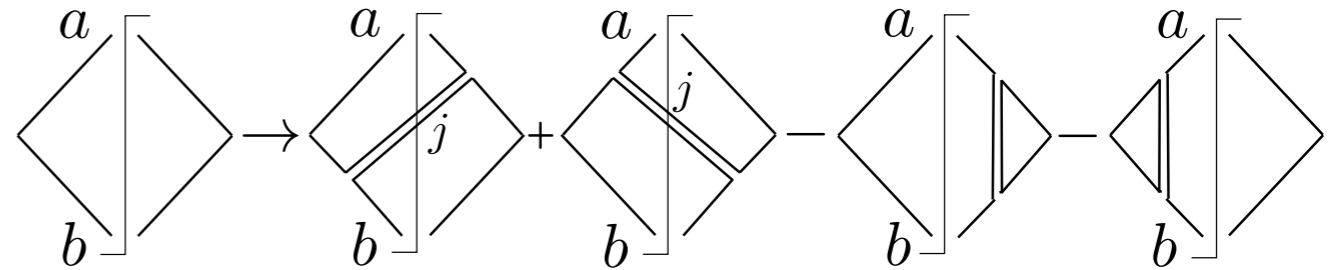
[SCH '15]

$$L_{i;0}^a \equiv (L_i^{a'} U_0^{a'a} - R_i^a)$$

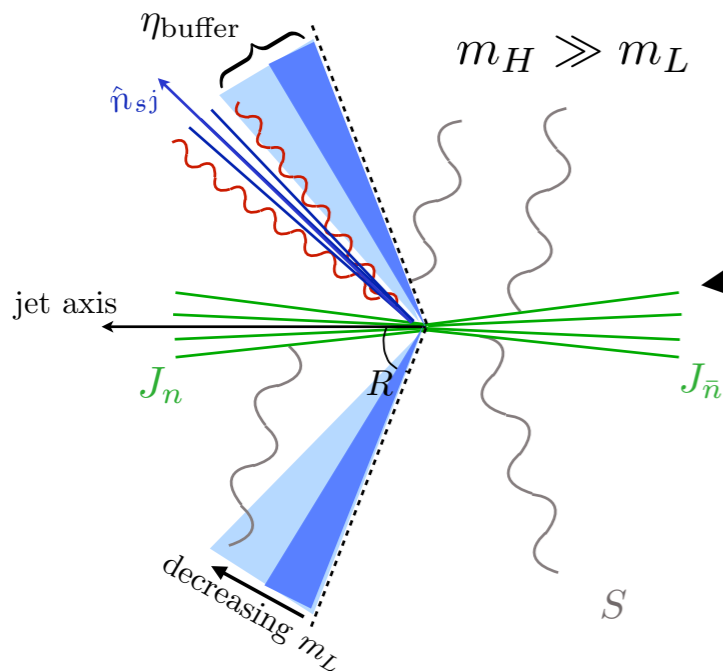
## Duff Neil

Finding small-x physics in small-x jets

Non-global logarithms (log. of infra-red energy scale) vs. soft jet factorization theorems



Evolution of color dipoles



One can introduce cut-off, "thrust" (effectively cut-off in energy)

Evolution through study of jet substructure

At large values of non-global logarithm L it is possible to simplify it to the BFKL equation

almost BK equation

$$\partial_L g_{ab} = \int_J \frac{d\Omega_j}{4\pi} W_{ab}(j) \left( U_{abj}(L) g_{aj} g_{jb} - g_{ab} \right)$$

$$W_{ab}(j) = \frac{a \cdot b}{a \cdot j \, j \cdot b}, \quad j = (1, \hat{n}_j)$$

$$U_{abj}(L) = \exp \left( L \int_{S^2/J} \frac{d\Omega_q}{4\pi} W_{aj}(q) + W_{jb}(q) - W_{ab}(q) \right)$$

Resummation of Sudakov effects

$$U_{abj} \rightarrow 1.$$

duality

$$\partial_L g_{ab} = \int_{S^2} \frac{d\Omega_j}{4\pi} \frac{a \cdot b}{a \cdot j \, j \cdot b} \left( g_{aj} g_{jb} - g_{ab} \right)$$

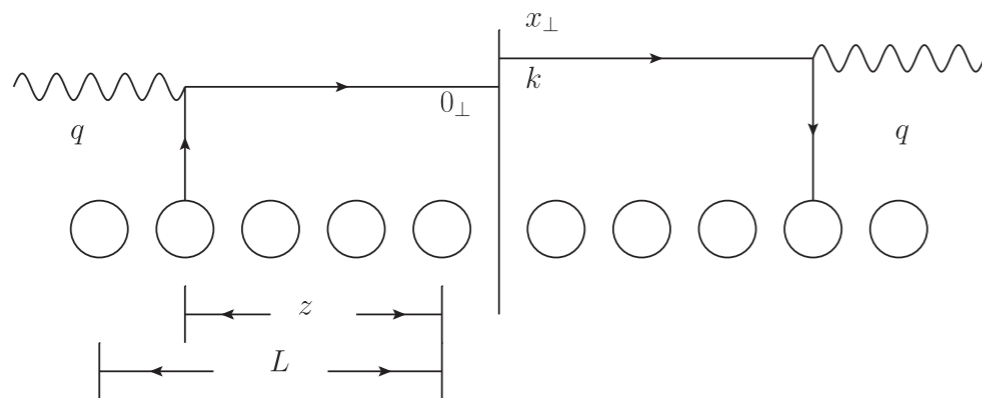
$\leftrightarrow$

$$\partial_Y S_{\vec{a}\vec{b}} = \frac{\alpha_s C_A}{\pi} \int_{\mathbb{R}^2} \frac{d\Omega_j}{2\pi} \frac{x_{\vec{a}\vec{b}}^2}{x_{\vec{a}\vec{j}}^2 x_{\vec{j}\vec{b}}^2} \left( S_{\vec{a}\vec{j}} S_{\vec{j}\vec{b}} - S_{\vec{a}\vec{b}} \right)$$

# Al Mueller

## Medium induced transverse momentum broadening in hard processes

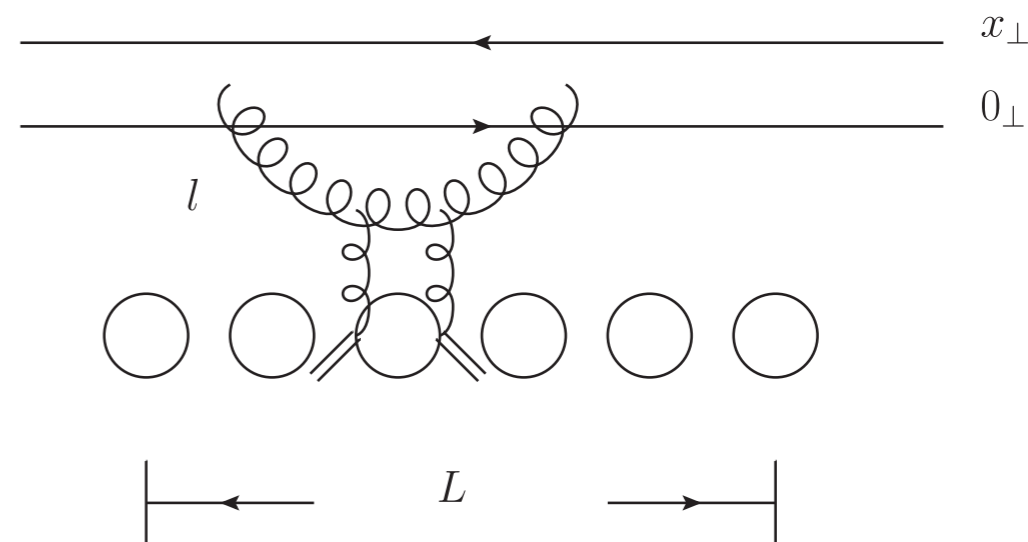
Transverse momentum broadening of partons in hard processes in the presence of medium.



$$\frac{dN}{d^2b d^2k_{\perp}} = \int \frac{d^2x_{\perp}}{(2\pi)^2} e^{-ik_{\perp} \cdot x_{\perp}} \rho x q_N \left( x, \frac{1}{x_{\perp}^2 + 1/Q^2} \right) \times \int_0^L dz e^{-\mathcal{E}},$$

$$\mathcal{E}_{\text{Sud}} = 2 \frac{\alpha_s C_F}{2\pi} \int_{q_+/[Q^2 x_{\perp}^2]}^{q_+} \frac{dl_+}{l_+} \int_{1/x_{\perp}^2}^{l_+/q_+} \frac{dl_{\perp}^2}{l_{\perp}^2} = \frac{\alpha_s C_F}{2\pi} \ln^2(Q^2 x_{\perp}^2).$$

Factorize the vacuum radiation contribution and medium related  $P_T$  broadening effects into the Sudakov factor and medium dependent distributions, respectively.



Medium induced radiation

A.H. Mueller, Bin Wu, Bo-Wen Xiao and Feng Yuan, Phys.Rev. D95 (2017), 034007

# Matthew D. Sievert

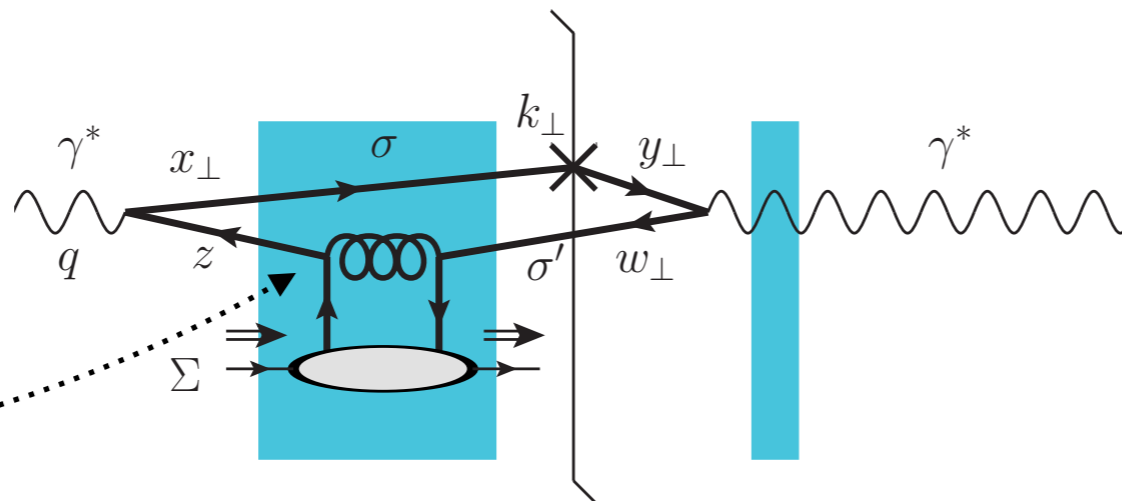
## Quark helicity evolution at small x

Understanding the proton spin at small x

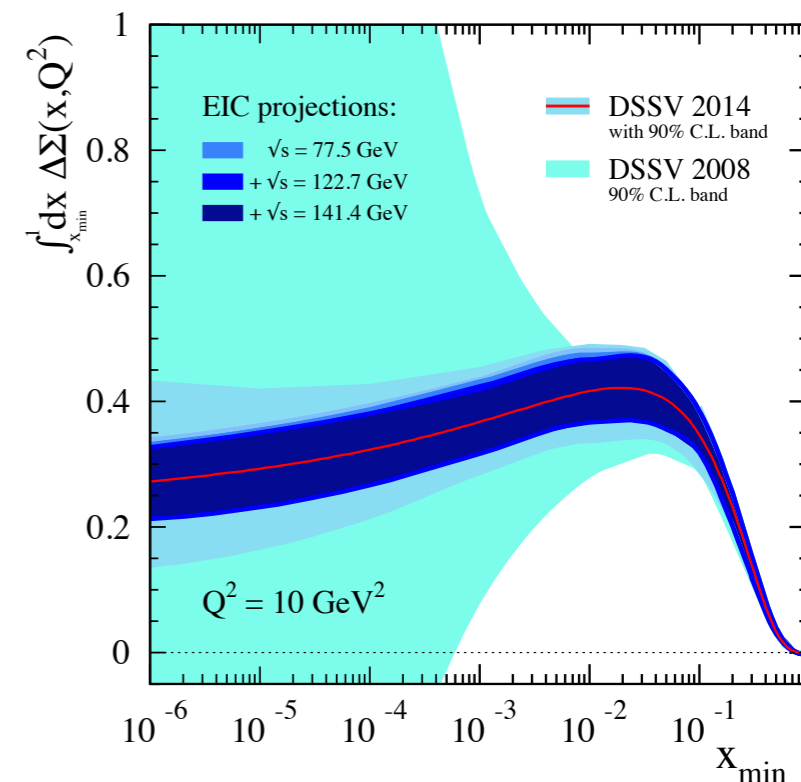
Small-x helicity evolution  
(quark helicity TMD & PDF,  
 $g_1$  structure function)

Numerical and analytic  
solution at large  $N_c$

Polarized  
interaction



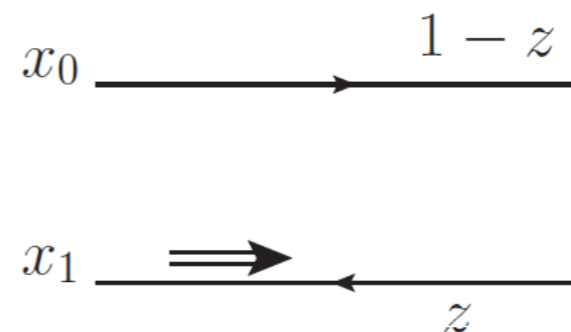
E. Aschenaur et al, arXiv:1509.06489



$$g_1^S(x, Q^2) = \frac{N_c N_f}{2 \pi^2 \alpha_{EM}} \int_{z_i}^1 \frac{dz}{z^2 (1-z)} \int dx_{01}^2 \left[ \frac{1}{2} \sum_{\lambda \sigma \sigma'} |\psi_{\lambda \sigma \sigma'}^T|^2(x_{01}^2, z) + \sum_{\sigma \sigma'} |\psi_{\sigma \sigma'}^L|^2(x_{01}^2, z) \right] G(x_{01}^2, z)$$

$$\Delta q^S(x, Q^2) = \frac{N_c N_f}{2 \pi^3} \int_{z_i}^1 \frac{dz}{z} \int_{\frac{1}{zs}}^{\frac{1}{zQ^2}} \frac{dx_{01}^2}{x_{01}^2} G(x_{01}^2, z)$$

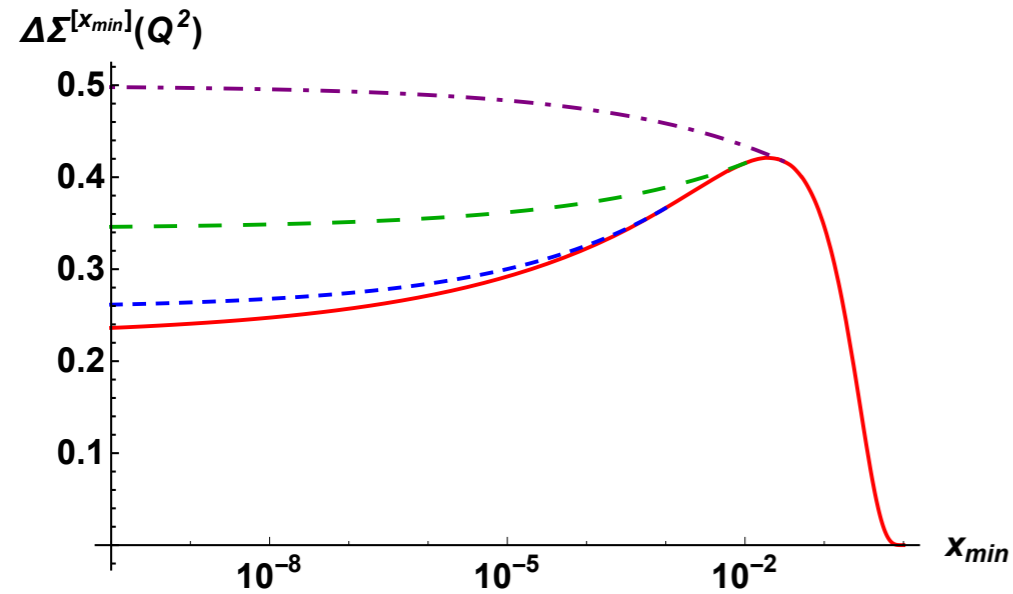
Depend on polarized  
dipole amplitude



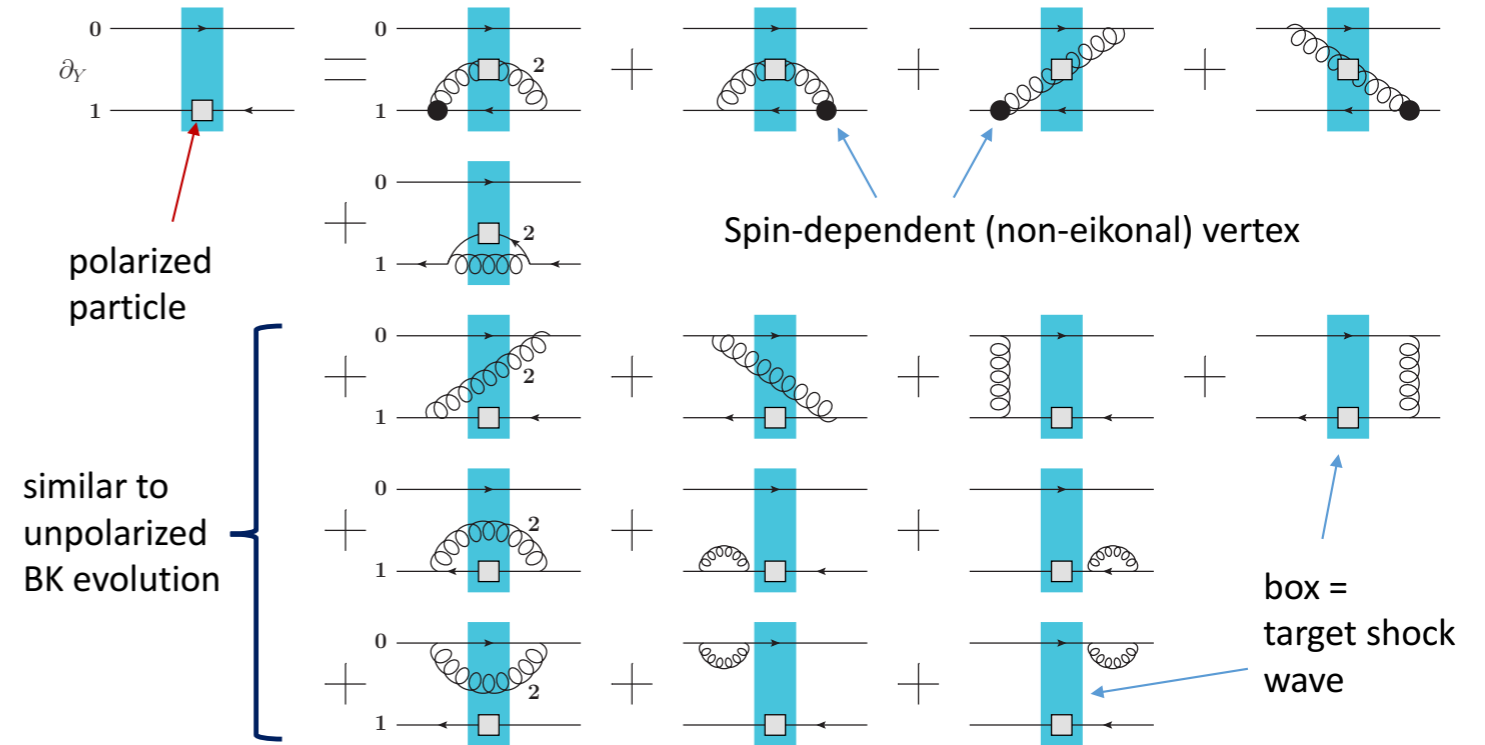
# Yuri Kovchegov

## Small x asymptotics of the quark helicity distribution

Found evolution equation  
for polarized dipole



One can construct an evolution equation for the polarized dipole:



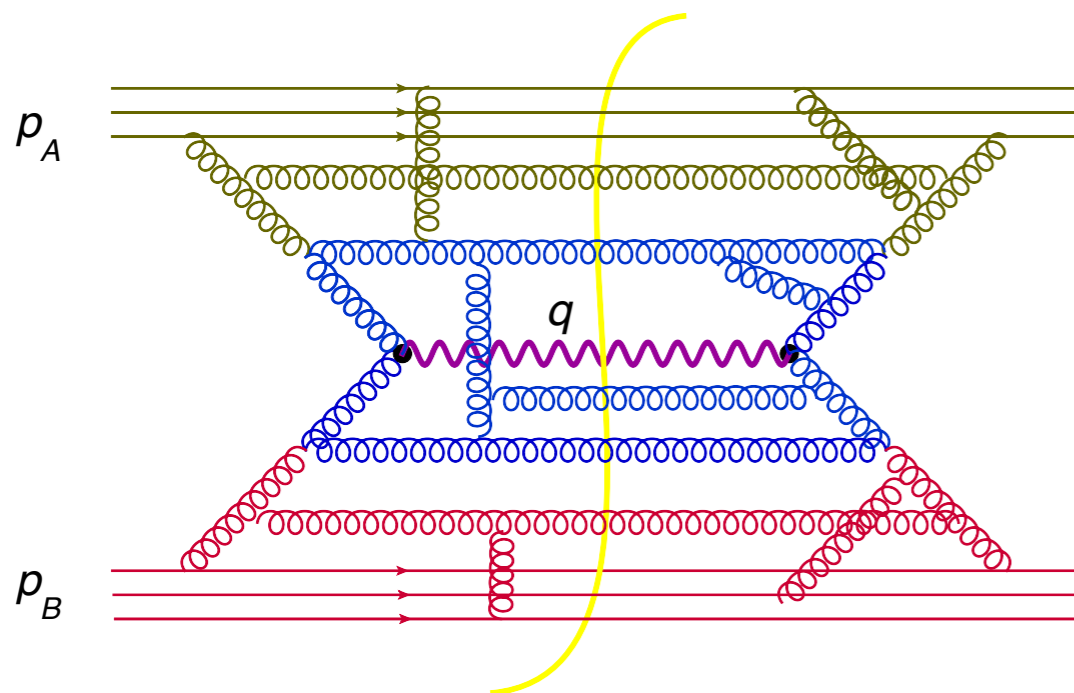
$$G_{10}(z) = G_{10}^{(0)}(z) + \frac{\alpha_s N_c}{2\pi} \int_{z_i}^z \frac{dz'}{z'} \int_{\rho'^2}^{x_{10}^2} \frac{dx_{21}^2}{x_{21}^2} [2 \Gamma_{02, 21}(z') S_{21}(z') + 2 G_{21}(z') S_{02}(z') + G_{12}(z') S_{02}(z') - \Gamma_{01, 21}(z')]$$

$$g_1^S(x, Q^2) \sim \Delta q^S(x, Q^2) \sim g_{1L}^S(x, k_T^2) \sim \left(\frac{1}{x}\right)^{\alpha_h} \approx \left(\frac{1}{x}\right)^{2.31} \sqrt{\frac{\alpha_s N_c}{2\pi}}$$

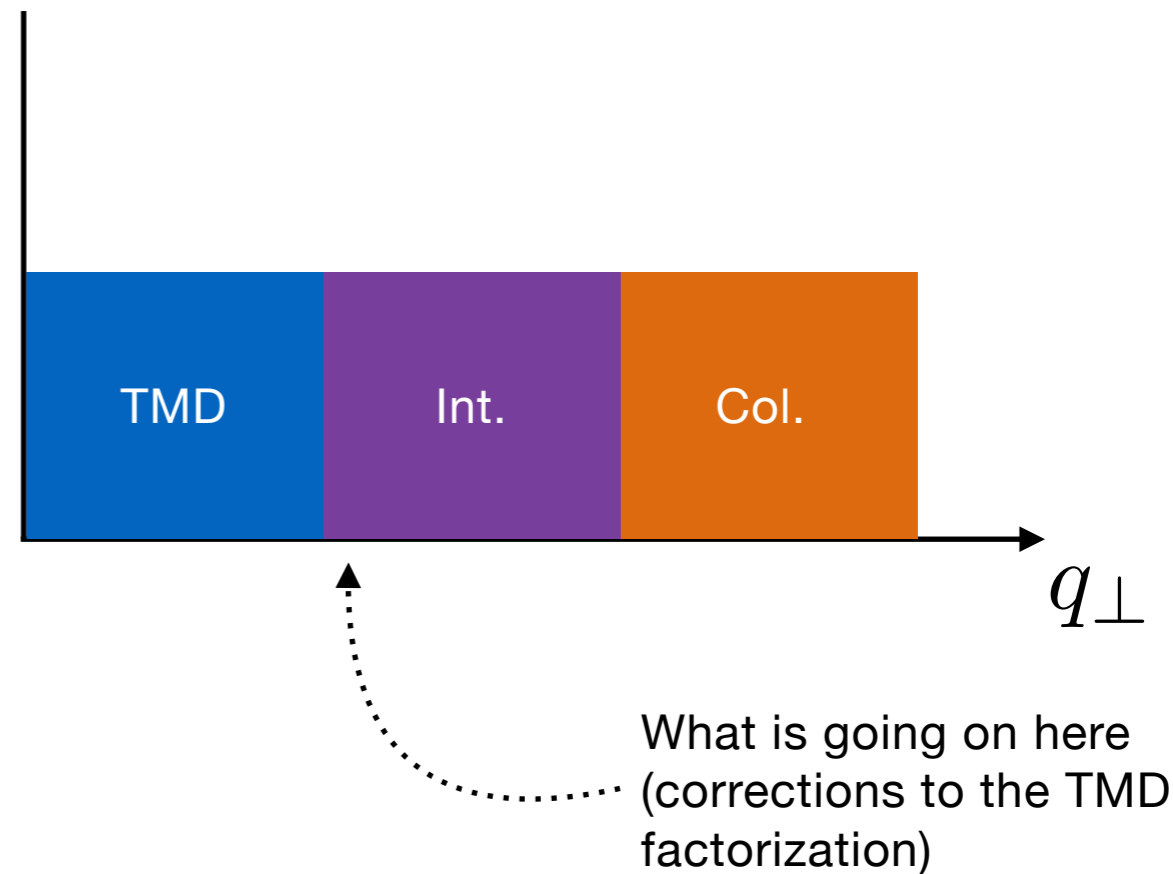
$$\text{Analytic result: } \alpha_h = \frac{4}{\sqrt{3}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 2.3094 \sqrt{\frac{\alpha_s N_c}{2\pi}}$$

**Ian Balitsky**

Higher-twist corrections to gluon TMD factorization



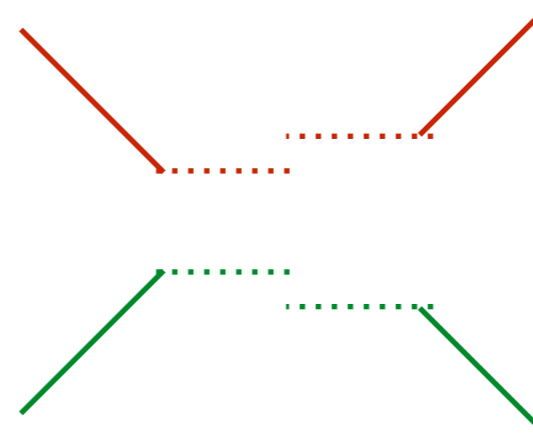
Particle production in pp at small transverse momentum



$$\frac{d\sigma}{d\eta d^2q_\perp} = \sum_f \int d^2b_\perp e^{i(q,b)_\perp} \mathcal{D}_{f/A}(x_A, b_\perp, \eta) \mathcal{D}_{f/B}(x_B, b_\perp, \eta) \sigma(ff \rightarrow H)$$

What is a form of the corrections to this result (Y-term)?

Corrections are suppressed as  $\frac{q_\perp^2}{Q^2}$



Product of two distribution functions

Leading order result

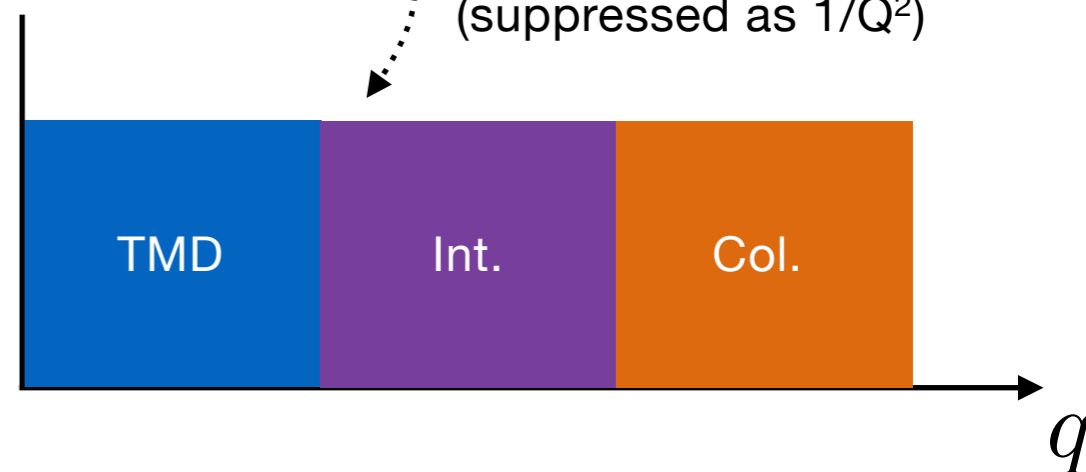
$$W(p_A, p_B, q) = \frac{64/s^2}{N_c^2 - 1} \int d^2 x_\perp \frac{2}{s} \int dx_\bullet dx_* \cos(\alpha_q x_\bullet + \beta_q x_* - (q, x)_\perp)$$

$$\times \left\{ \langle p_A | \hat{U}_*^{mi}(x_\bullet, x_\perp) \hat{U}_*^{mj}(0) | p_A \rangle \langle p_B | \hat{V}_{\bullet i}^n(x_*, x_\perp) \hat{V}_{\bullet j}^n(0) | p_B \rangle \right\}$$

$$- \frac{4N_c^2}{N_c^2 - 4} \frac{\Delta^{ij,kl}}{Q^2} \frac{2}{s} \int_{-\infty}^{x_\bullet} dx'_\bullet d^{abc} \langle p_A | \hat{U}_{*i}^a(x_\bullet, x_\perp) \hat{U}_{*j}^b(x'_\bullet, x_\perp) \hat{U}_{*r}^c(0) | p_A \rangle$$

$$\times \frac{2}{s} \int_{-\infty}^{x_*} dx'_* d^{mpq} \langle p_B | \hat{V}_{\bullet k}^m(x_*, x_\perp) \hat{V}_{\bullet l}^p(x'_*, x_\perp) \hat{V}_{\bullet r}^q(0) | p_B \rangle \right\}$$

Subleading term  
(suppressed as  $1/Q^2$ )



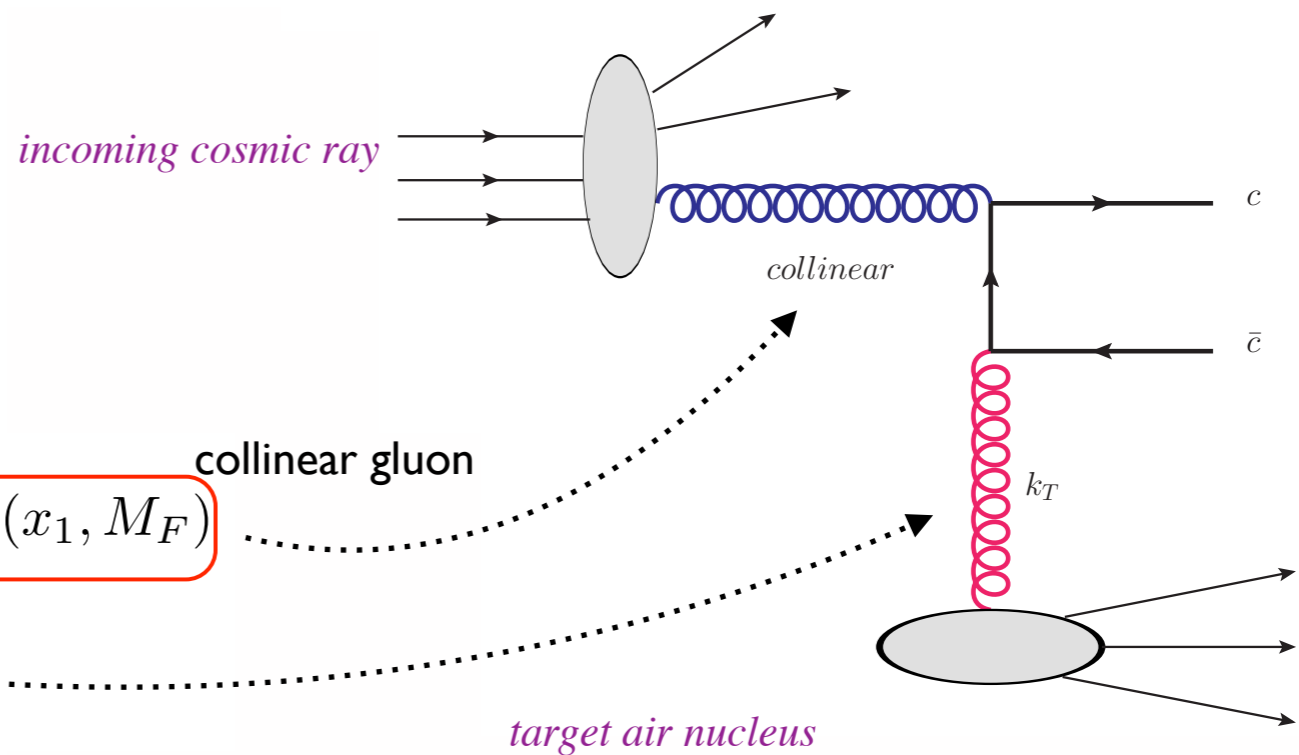
# Anna Staśto

## Low x physics and prompt neutrino production

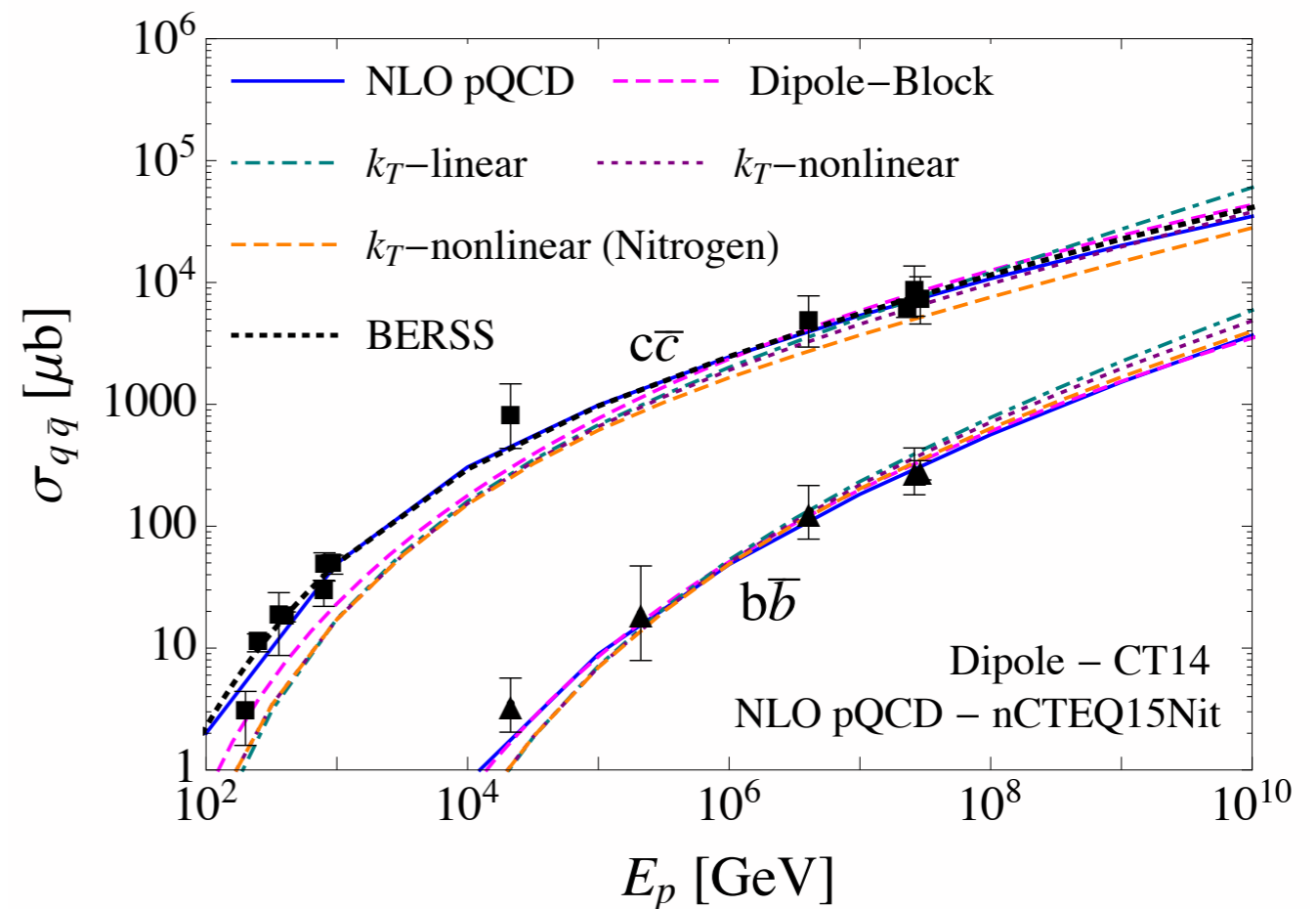
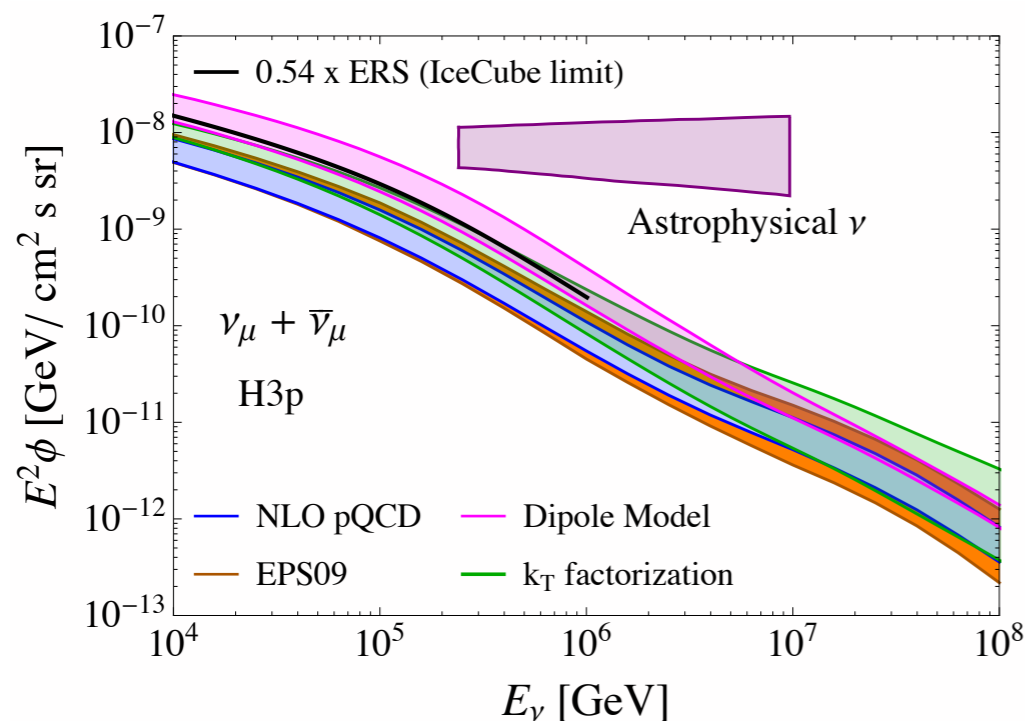
Prompt neutrinos - decay of charmed or bottom mesons

Hybrid  $k_T$  factorization calculation

$$\sigma(pp \rightarrow q\bar{q}X) = \int \frac{dx_1}{x_1} \frac{dx_2}{x_2} dz dx_F \delta(zx_1 - x_F) x_1 g(x_1, M_F) \times \int \frac{dk_T^2}{k_T^2} \hat{\sigma}^{\text{off}}(z, \hat{s}, k_T) f(x_2, k_T^2)$$

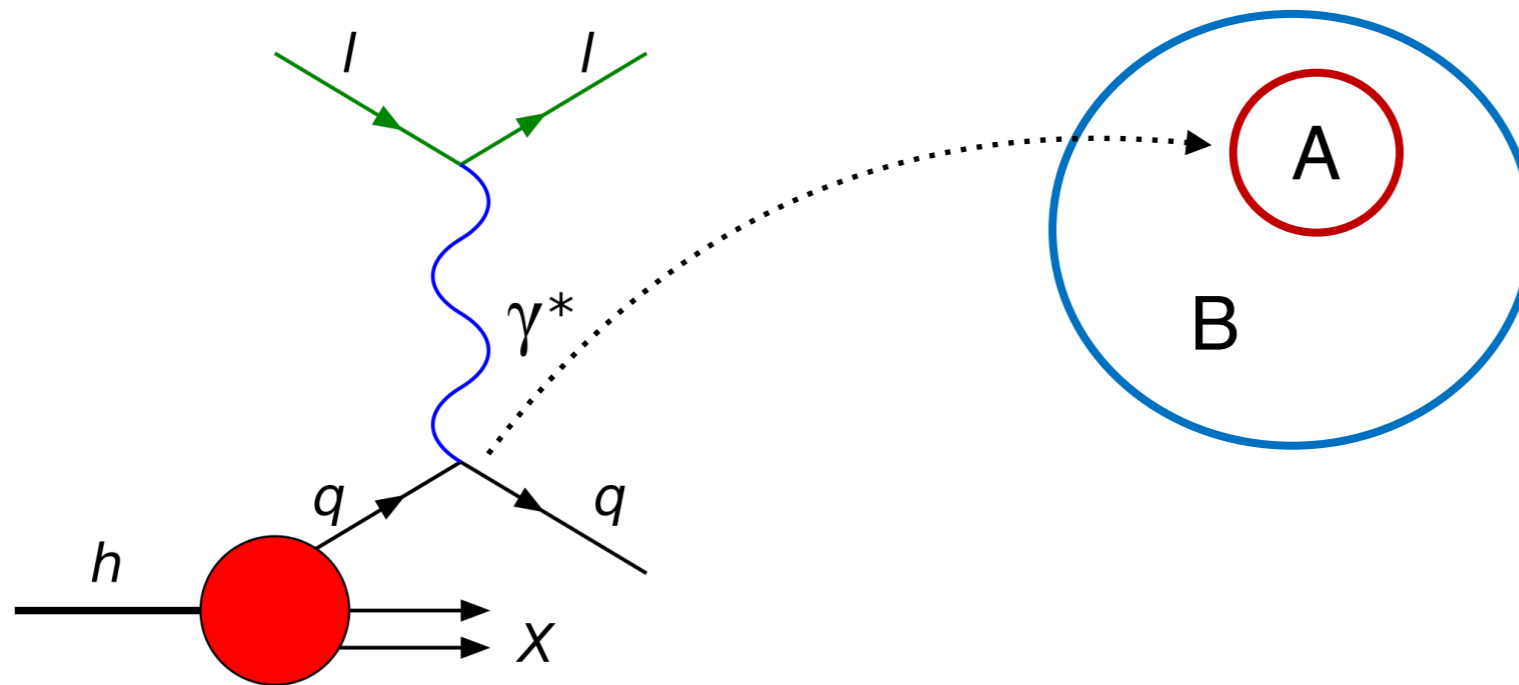


Unintegrated gluon density obtained from the resummed small x evolution equation with non-linear term



## Dmitri Kharzeev

### Deep Inelastic Scattering as a probe of entanglement



Relation between the entanglement entropy and parton distribution (1D-model)

$$S = \ln[xG(x)]$$

At small- $x$  the entanglement entropy is maximal and the proton is a maximally entangled state

Can extract entanglement entropy from measurements of multiplicity

DIS probes only a part of the proton's wave function (region A)

We sum over all hadronic final states; in quantum mechanics, this corresponds to accessing the density matrix of a mixed state

$$\rho_A = \sum_n \alpha_n^2 |\Psi_n^A\rangle \langle \Psi_n^A|$$

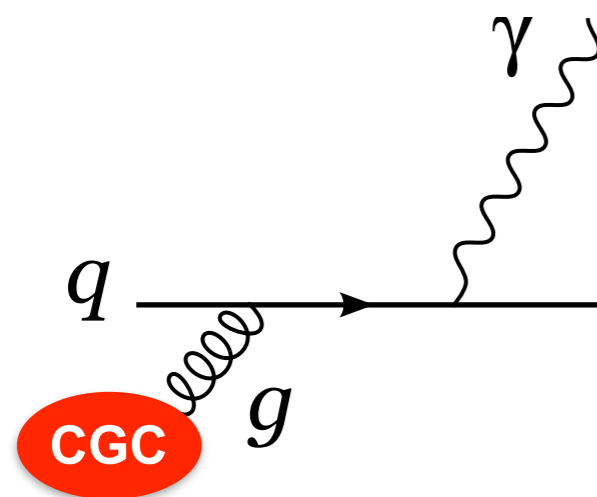
probability of a state with  $n$  partons (multiplicity)

$$\alpha_n^2 = p_n$$

The entanglement entropy

$$S = - \sum_n p_n \ln p_n$$

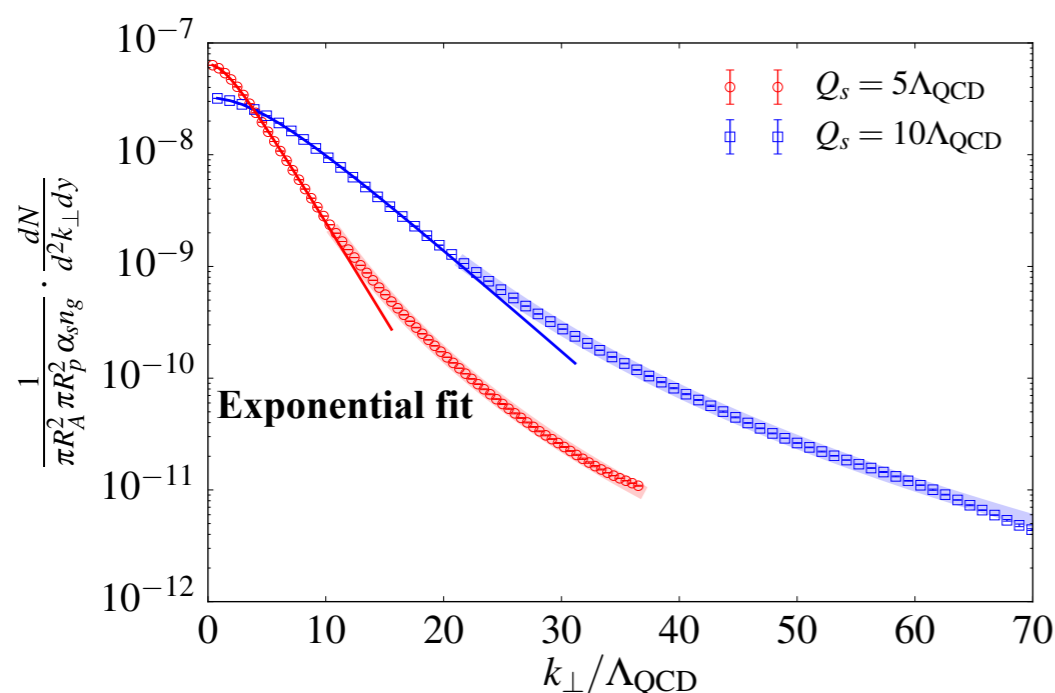
## Compton Scattering



Photons in pA at high energies (LO)

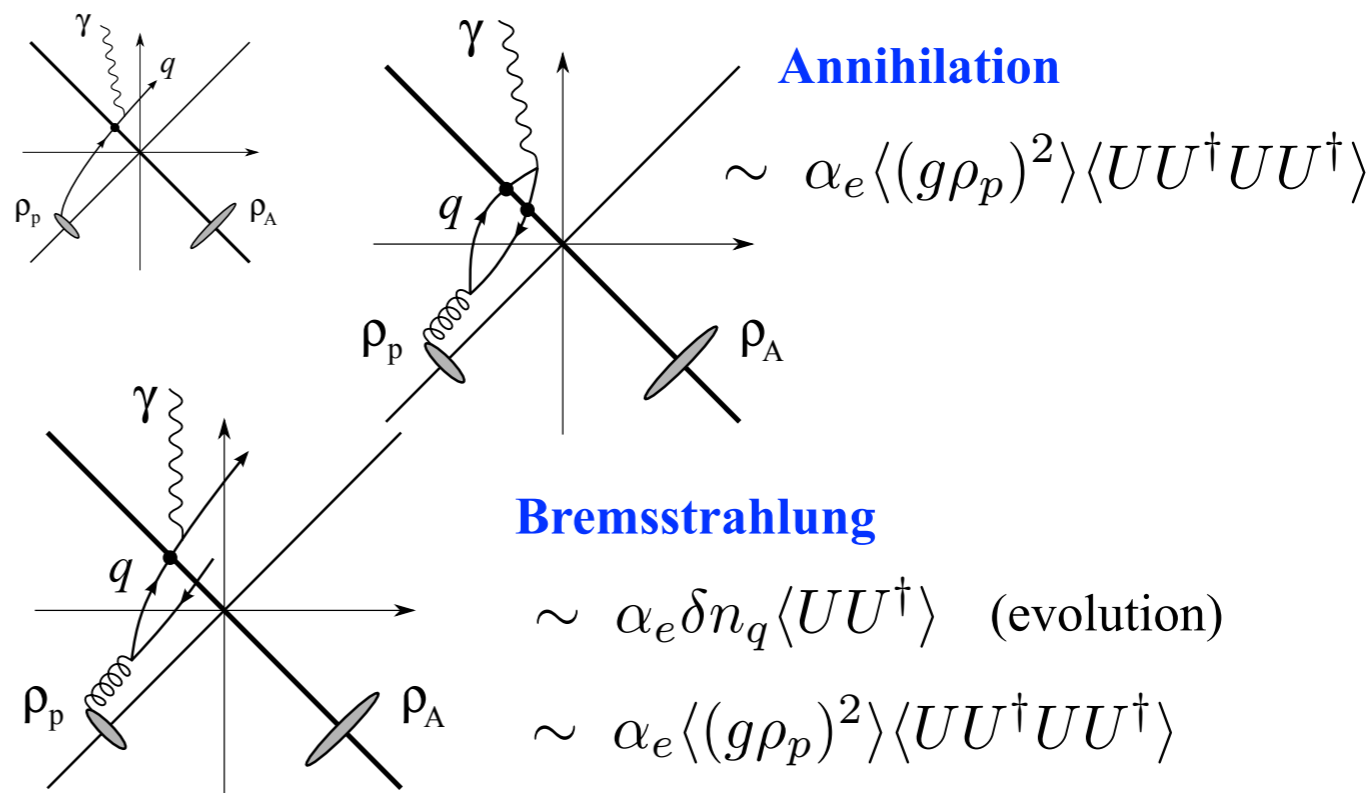
Gelis-Mehtar-Tani (2006)

## Benic-Fukushima (2016)



Annihilation

Calculation at the NLO level



## Bremsstrahlung Diagram

### Benic-Fukushima-Garcia-Montero-Venugopalan (2016)

$$\mathcal{M}^\mu(p, q, k_\gamma) = -q_f e g^2 \int_{\mathbf{k}_\perp, \mathbf{k}_{1\perp}} \int_{\mathbf{x}_\perp, \mathbf{y}_\perp} \frac{\rho_p^a(\mathbf{k}_{1\perp})}{k_{1\perp}^2} e^{i\mathbf{k}_\perp \cdot \mathbf{x}_\perp + i(\mathbf{P}_\perp - \mathbf{k}_\perp - \mathbf{k}_{1\perp}) \cdot \mathbf{y}_\perp} \\ \times \bar{u}(q) \{ T_g^\mu(\mathbf{k}_{1\perp}) U(\mathbf{x}_\perp)^{ba} t^b + T_{q\bar{q}}^\mu(\mathbf{k}_\perp, \mathbf{k}_{1\perp}) \tilde{U}(\mathbf{x}_\perp) t^a \tilde{U}^\dagger(\mathbf{y}_\perp) \} v(p)$$

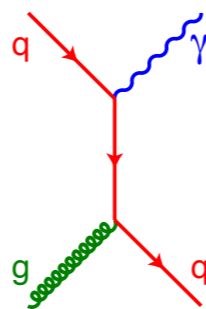
Structure is simple but the full expression is...

Necessary conditions for correctness

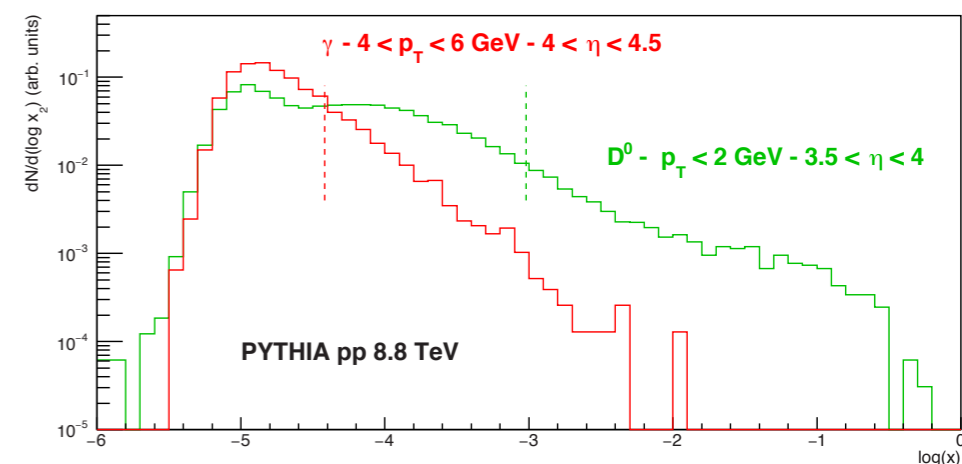
- ✓ Gauge invariance (Coulomb/Light-cone)
- ✓ Ward identity:  $k_{\gamma\mu} \mathcal{M}^\mu(p, q, k_\gamma) = 0$   
satisfied separately for  $T_g$  and  $T_{q\bar{q}}$
- ✓ Leading-twist (perturbative)
- ✓ Soft-photon limit (Low-Burnett-Kroll theorem)

### Cleaner observables (EM probes):

- no final state interaction
- well-understood production process
- well-defined kinematics
- interpretation of hadronic observables remains inconclusive
  - final state modifications in p–A collisions?
  - production process not fully understood for many hadrons
  - kinematic relation to Bjorken-x uncertain (e.g. fragmentation)
- best alternative candidate: open charm
  - direct sensitivity to gluons
  - final state interactions?

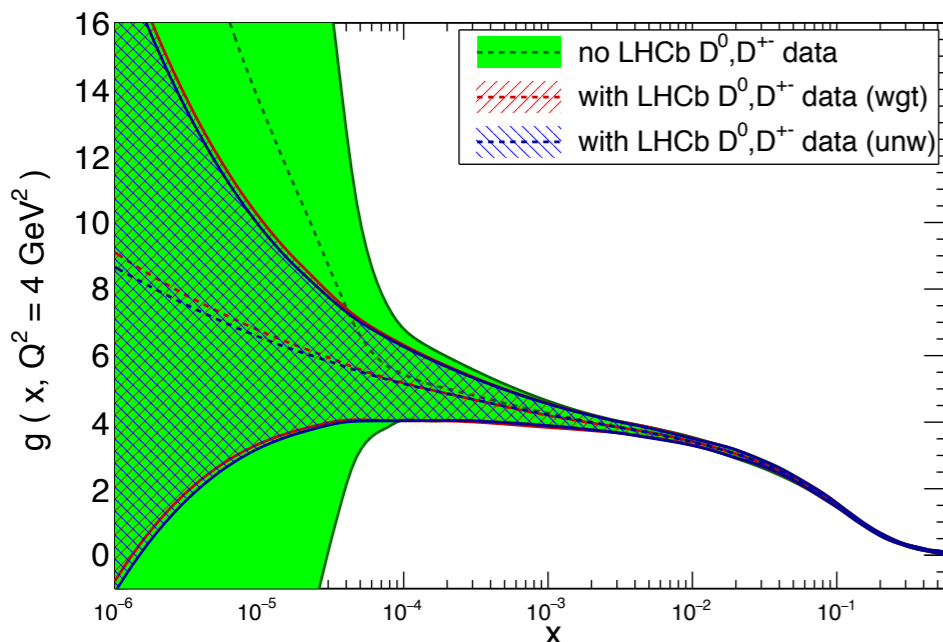


real photons: sensitivity to gluons at LO, clear kinematic relation



large cross section at small x

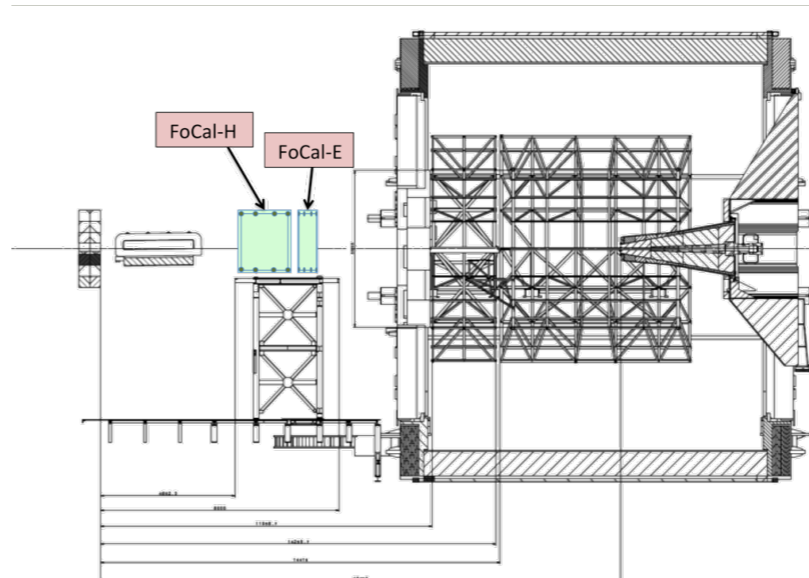
NNPDF3.0 NLO  $\alpha_s=0.118$



Usage of forward D measurements by LHCb can constrain gluon distribution



### FoCal in ALICE



electromagnetic calorimeter for  $\gamma$  and  $\pi^0$  measurement

preferred scenario:

- at  $z \approx 7\text{m}$  (outside magnet)
- $3.3 < \eta < 5.3$
- (space to add hadr. calorimeter)

under internal discussion  
possible installation in LS3

## Adrian Dumitru

Fluctuations of the gluon distribution at small-x: correlation of multiplicity and transverse momentum fluctuations

High-energy observable:

$$\langle O[A^+] \rangle = \frac{1}{Z} \int \mathcal{D}A^+ W[A^+] O[A^+]$$

$$W[A^+] = e^{-S[A^+]}$$

MV model (no quantum corrections):

Quantum corrections modify the weight:

$$S[\rho] = \int dx^- d^2x_\perp \frac{\text{tr} \rho(x^-, x_\perp) \rho(x^-, x_\perp)}{2\mu^2(x^-)}$$

$$S_G[\rho] = \int d^2x_\perp d^2y_\perp \frac{\text{tr} \rho(x_\perp) \rho(y_\perp)}{\mu^2(x_\perp - y_\perp)}$$

Proposed new calculation scheme for  
the functional integral through effective  
action

E. Iancu, K. Itakura and L. McLerran

$$e^{-V_{\text{eff}}[X(q)]} = \int \mathcal{D}A^+(q) W[A^+(q)] \delta(X(q) - O[A^+(q)])$$

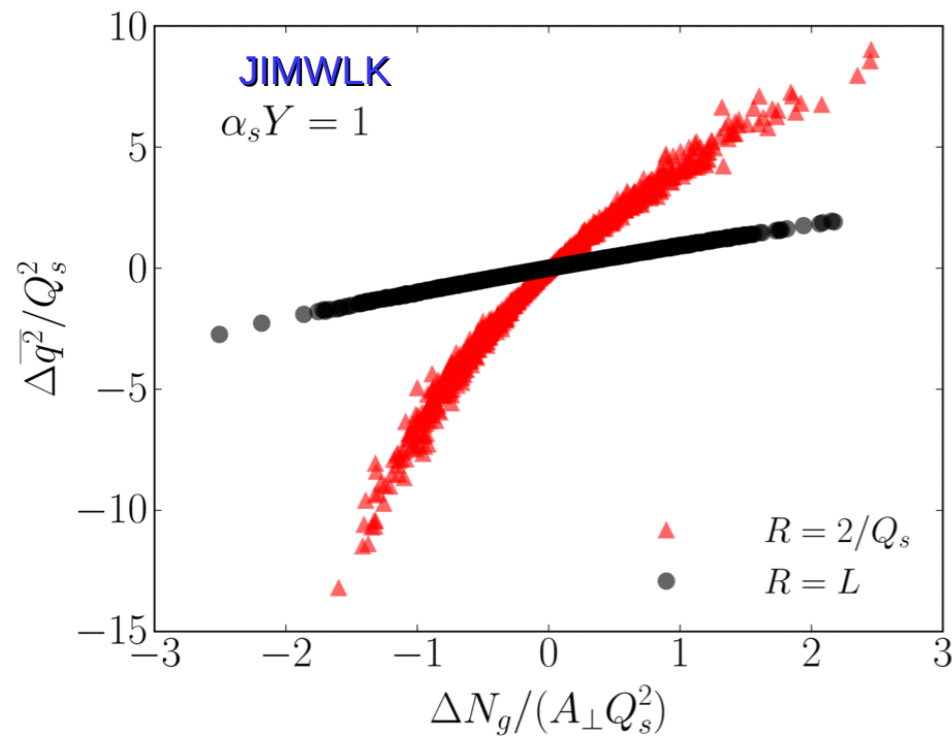
$$\frac{\delta V_{\text{eff}}[X(k)]}{\delta X(q)} = 0 \rightarrow X_s(q) \equiv \langle X(q) \rangle$$

Adrian Dumitru, Vladimir Skokov

## Adrian Dumitru

### Fluctuations of the gluon distribution at small-x: correlation of multiplicity and transverse momentum fluctuations

$$\frac{\delta V_{\text{eff}}[X(k)]}{\delta X(q)} = 0 \rightarrow X_s(q) \equiv \langle X(q) \rangle$$



Evolution modifies spectrum of fluctuations

Consider fluctuations around this result

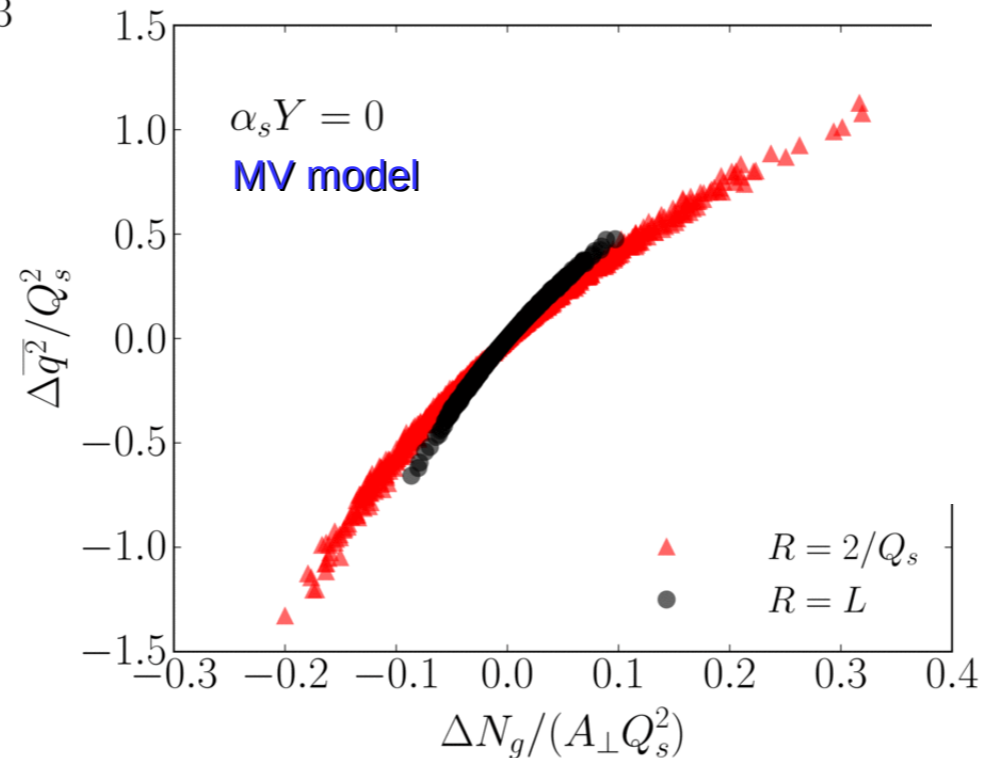
One can understand how this fluctuations affect observables (multiplicity etc.)

$$\Delta \bar{q}^2[\eta(q)] = \frac{\Delta N_g[\eta(q)]}{\int \frac{d^2 q}{(2\pi)^2} X_s(q) \eta(q)}$$

$$\Delta N_g \simeq N_c A_\perp \Delta \bar{q}^2$$

for small amplitude fluctuations

$\Delta N_g$  increases with  $\Delta \bar{q}^2$



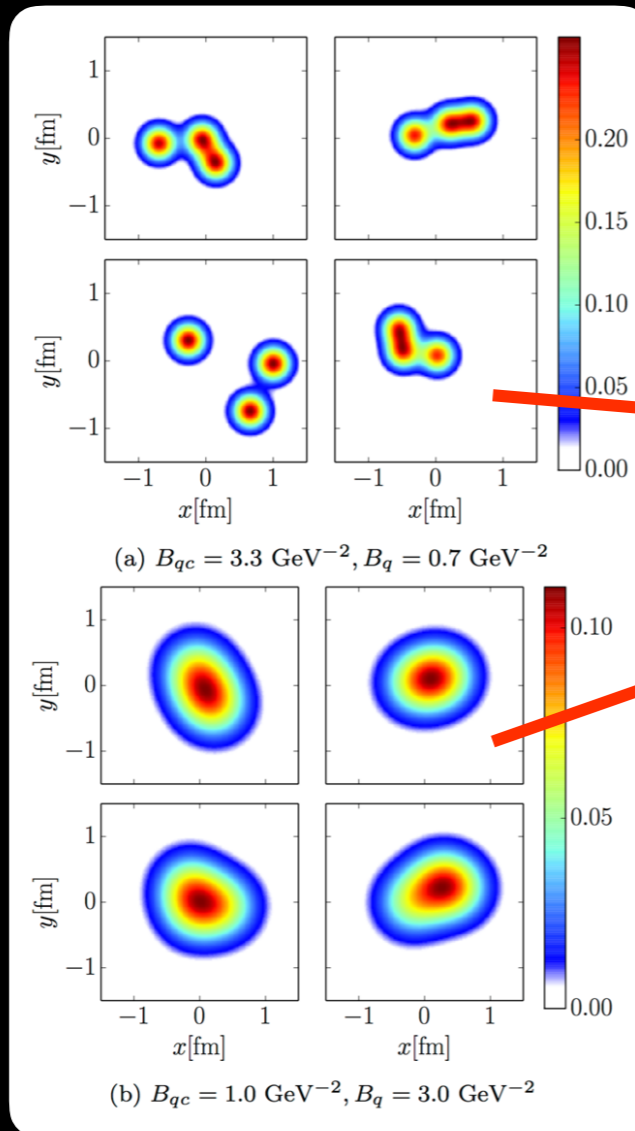
# Björn Schenke

Subnucleonic fluctuations, diffraction, and small-x fluctuations

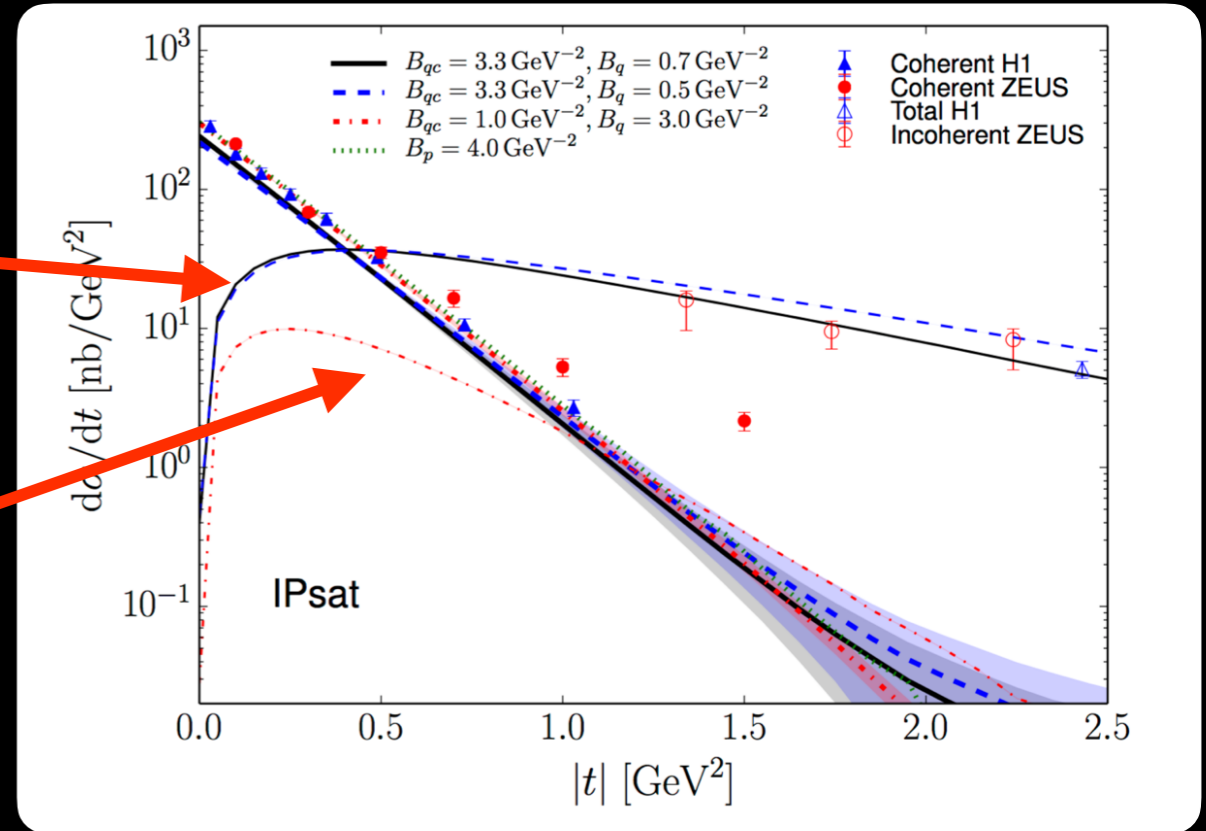
$$\frac{d\sigma^{\gamma^* p \rightarrow V p}}{dt} = \frac{1}{16\pi} \left| \langle \mathcal{A}^{\gamma^* p \rightarrow V p}(x, Q^2, \Delta) \rangle \right|^2$$

Shape fluctuations of the proton's gluon distribution are needed to describe incoherent diffractive vector meson data from HERA

Assume 3 valence quark-like hot spots



H. Mäntysaari, B. Schenke, *Phys. Rev. Lett.* **117** (2016) 052301  
*Phys.Rev. D* **94** (2016) 034042



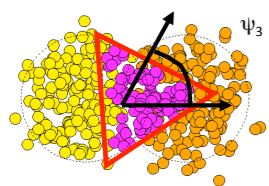
H1 collaboration, *Eur. Phys. J. C* **46** (2006) 585,  
*Phys. Lett. B* **568** (2003) 205  
 ZEUS collaboration, *Eur. Phys. J. C* **24** (2002) 345  
*Eur. Phys. J. C* **26** (2003) 389

Björn Schenke, BNL

# Raju Venugopalan

## Probing extreme QCD through ridge-like correlations in small systems: status and problems

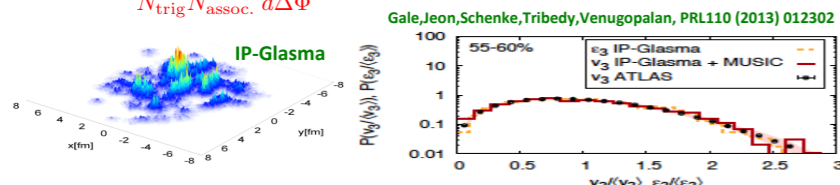
### The ridge in A+A collisions



Alver, Roland, PRC81(2010) 054905  
Alver, Gombeaud, Luzum, Ollitrault, PRC82 (2010) 03491

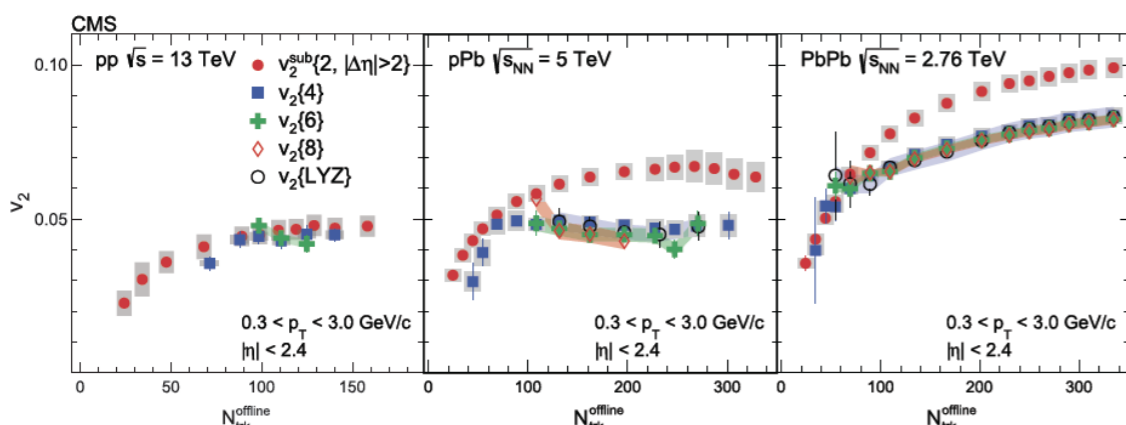
Structure of ridge-correlations can be understood as hydrodynamic flow driven by event-by-event fluctuations in nucleon positions

$$\frac{1}{N_{\text{trig}} N_{\text{assoc}}} \frac{d^2 N}{d\Delta\Phi} = 1 + V_1 \cos(\Delta\Phi) + V_2 \cos(2\Delta\Phi) + \dots$$

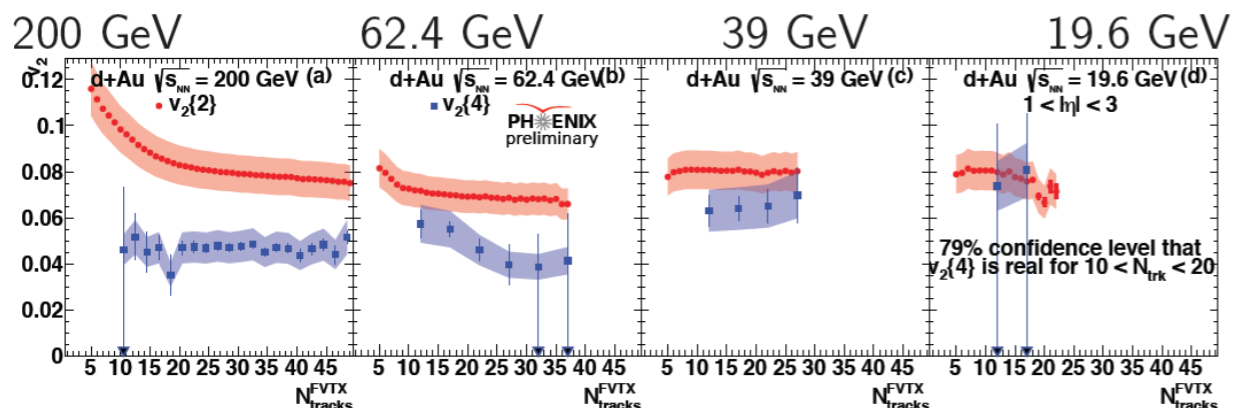


Some evidence of sensitivity of data to sub-nucleon scale fluctuations

### Collectivity across system size

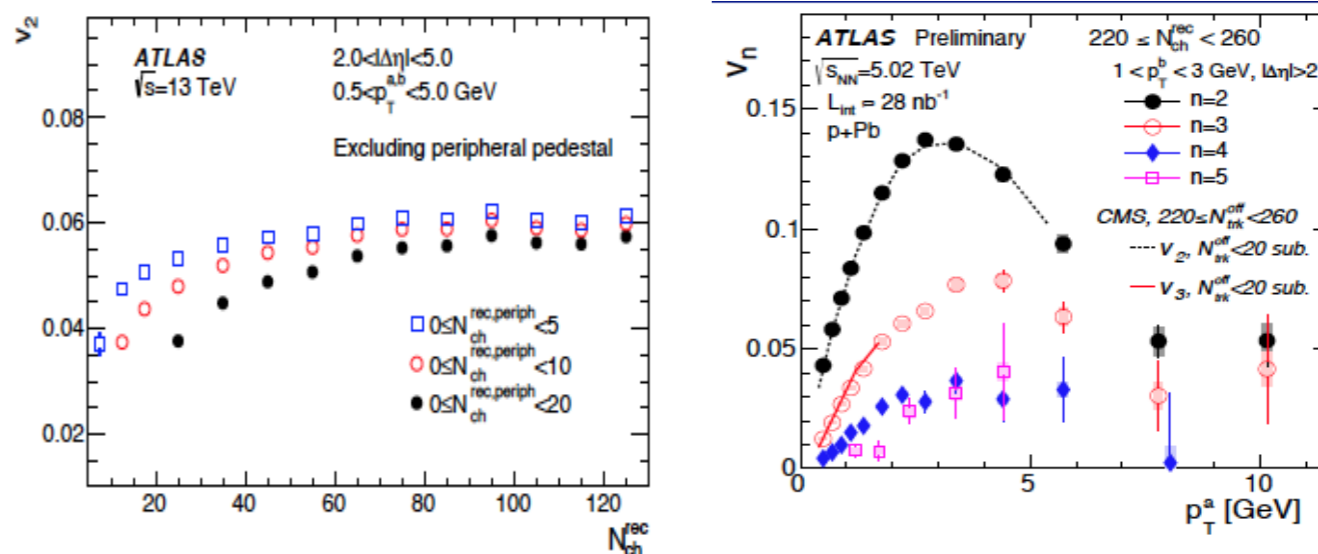


### Collectivity across wide energy scales

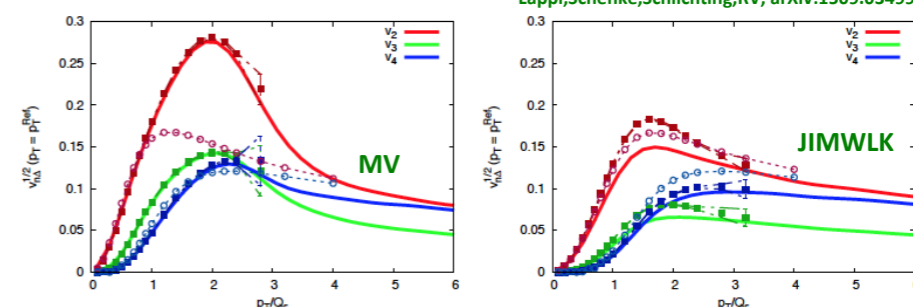


Strong final state interactions? Hydrodynamics fits to the data, but correlations are observed up to high transverse momenta and small multiplicity.

### Issues with the hydrodynamic paradigm: III



### Tracing azimuthal initial state correlations



What about 4-particle correlations?

$$\frac{d^m N}{\prod_{i=1}^m d^2 p_{i\perp}} = \frac{1}{(4\pi^3 B)^m} \prod_{i=1}^m \int d^2 b_i \int d^2 r_i e^{-b_i^2/E} e^{-r_i^2/4B} e^{i p_{i\perp} \cdot r_i} \left\langle \prod_{j=1}^m D(b_j + \frac{r_j}{2}, b_j - \frac{r_j}{2}) \right\rangle$$

$$\kappa_n \{m\} = \int d^2 p_{1\perp} \dots d^2 p_{m\perp} \frac{d^m N}{\prod_{k=1}^m d^2 p_{k\perp}} \prod_{j=1}^{m/2} \prod_{l=\frac{m}{2}+1}^m e^{ni(\phi_j^p - \phi_l^p)}$$

First initial state results on  $c_n\{4\}$ , SC(m,n)  
Kevin Dusling's talk at 10:30 am Friday  
Dusling, Mace, RV, in preparation

# Kevin Dusling

## Collectivity from the initial state: Four-particle correlations in proton-nucleus collisions

Collectivity from four-particle initial state correlations has remained elusive...

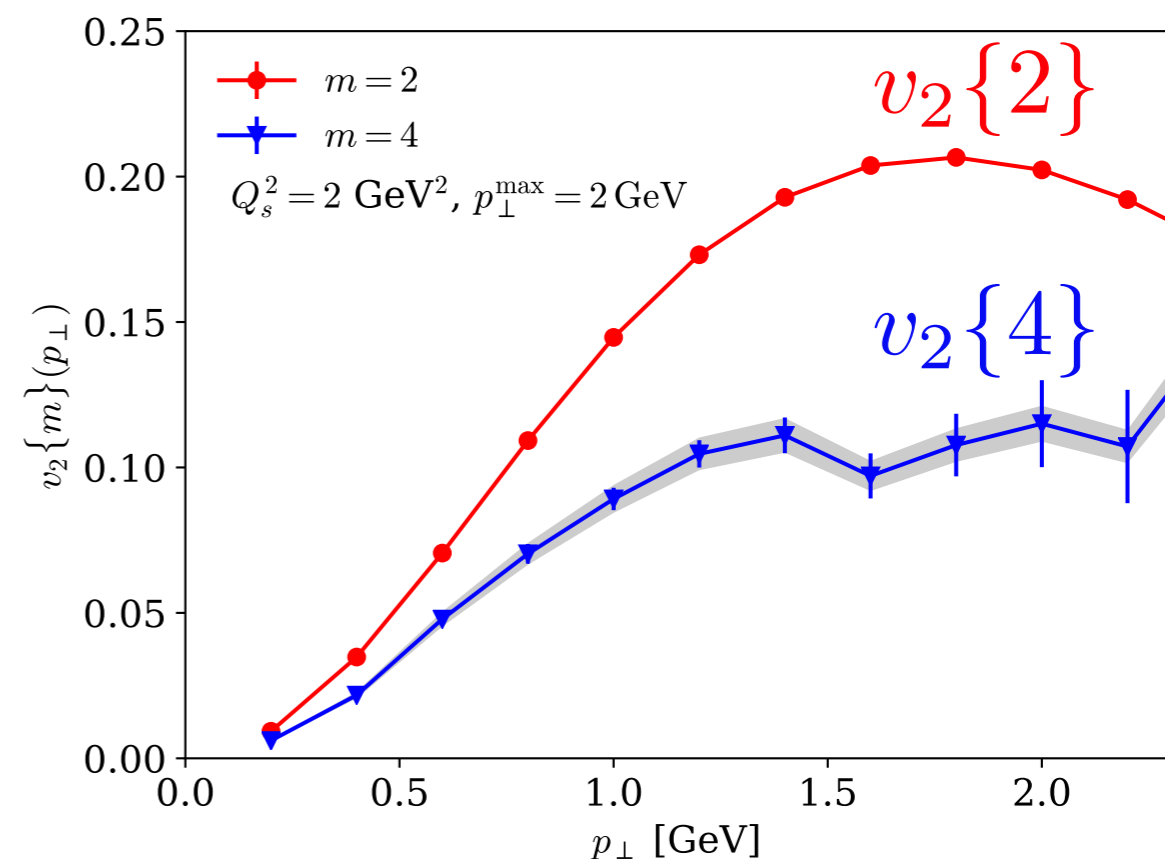
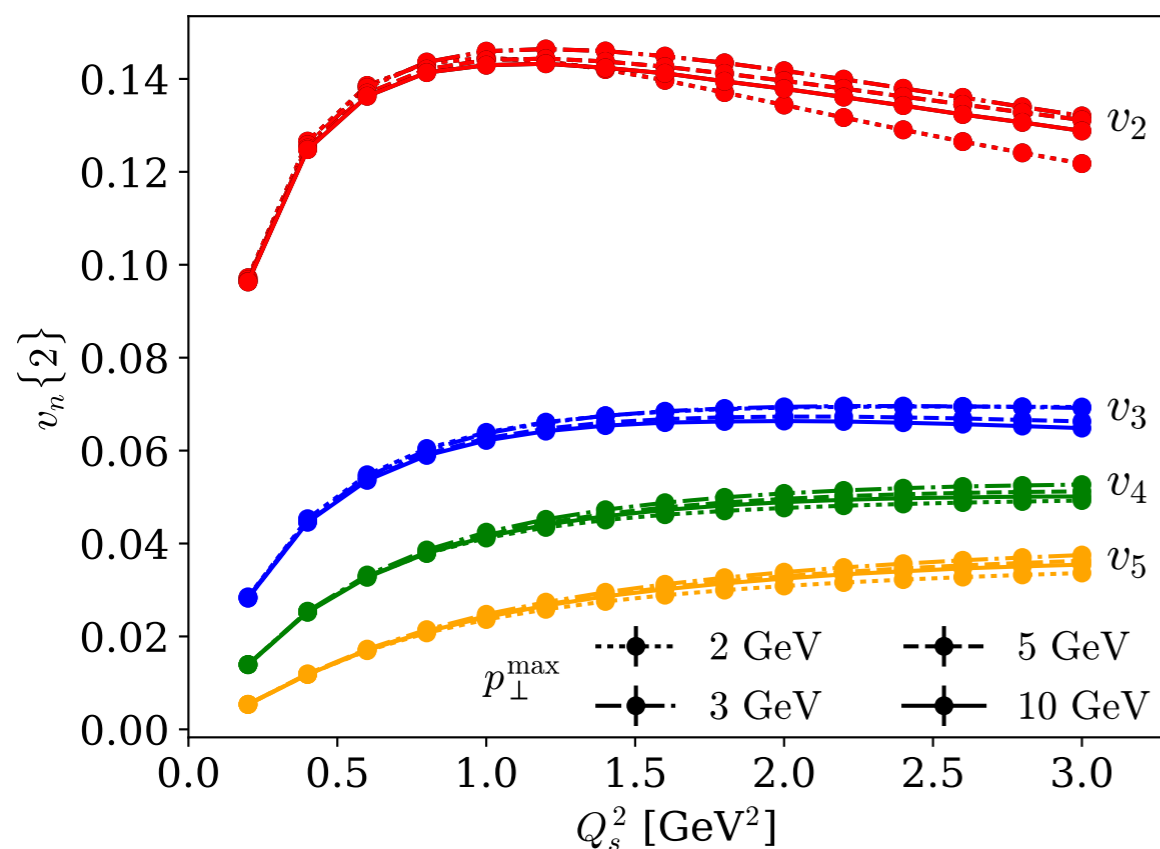
Compute  $v_2\{m\}$  systematically for the first time in an initial state framework

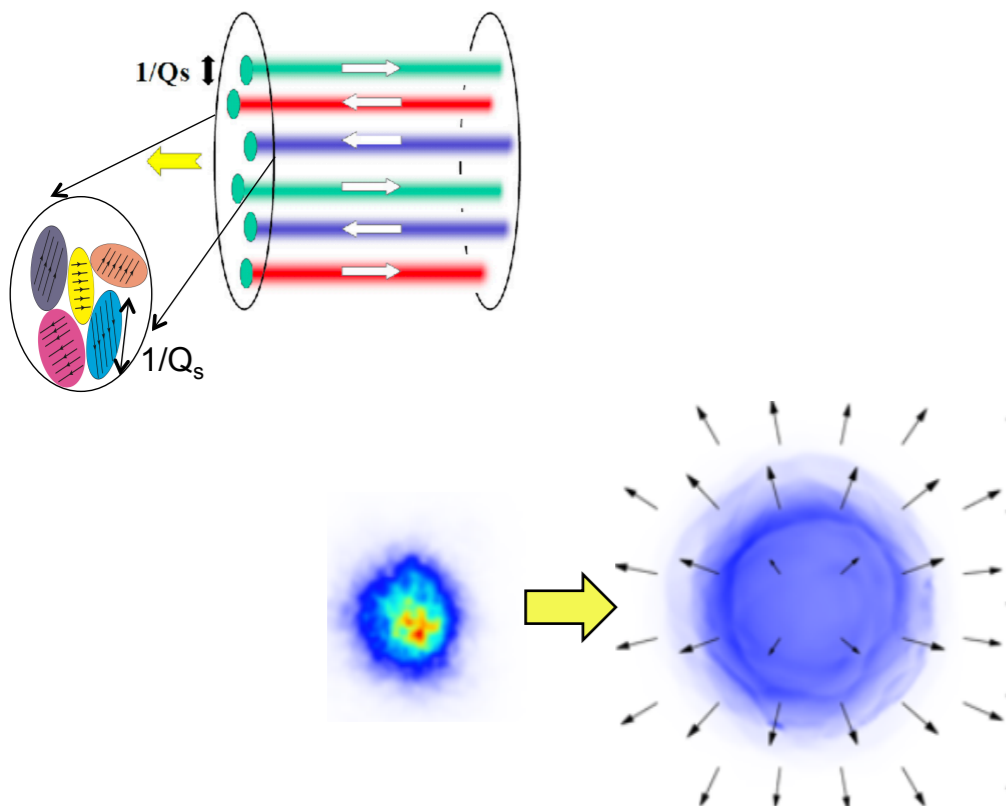
First computation of the average of the product of four light-like “dipole” Wilson-line correlators

$$W_q(\mathbf{b}_i, \mathbf{k}_{i\perp}) = \frac{1}{\pi^2} e^{-|\mathbf{b}_i|^2/B} e^{-|\mathbf{k}_i|^2 B}$$

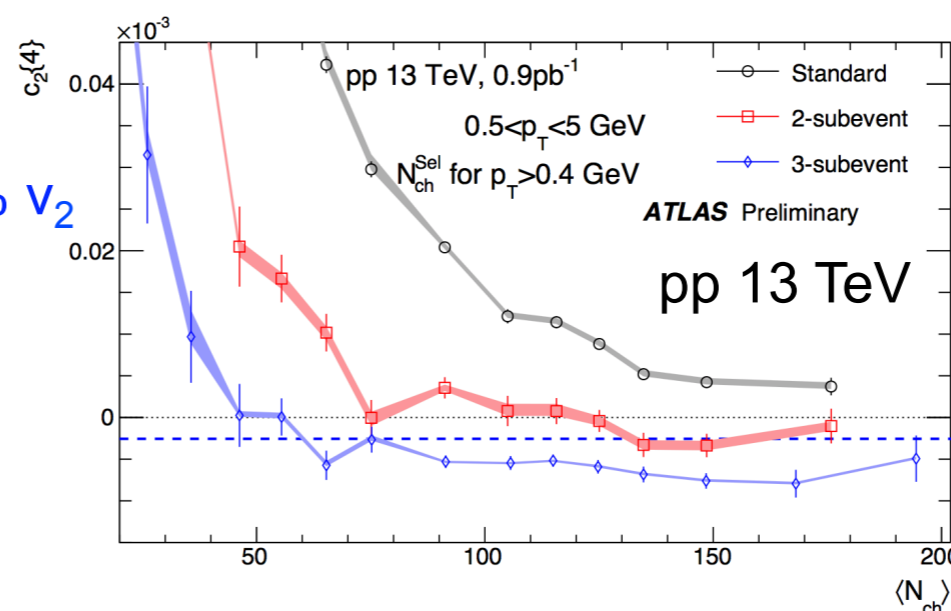
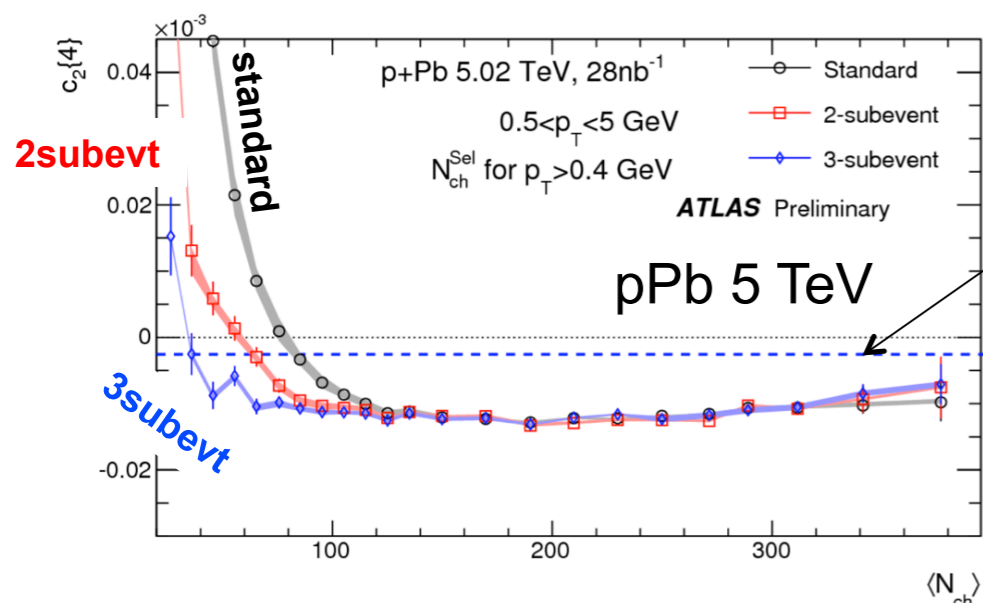
Quark distribution

$$\frac{d^m N}{d^2 \mathbf{p}_{i\perp} \cdots d^2 \mathbf{p}_{m\perp}} = \prod_{i=1}^m \int d^2 \mathbf{b}_i \int \frac{d^2 \mathbf{k}_i}{(2\pi)^2} W_q(\mathbf{b}_i, \mathbf{k}_{i\perp}) \cdot \int d^2 \mathbf{r}_i e^{i(\mathbf{p}_{i\perp} - \mathbf{k}_{i\perp}) \cdot \mathbf{r}_i} \left\langle \prod_{j=1}^m D \left( \mathbf{b}_j + \frac{\mathbf{r}_j}{2}, \mathbf{b}_j - \frac{\mathbf{r}_j}{2} \right) \right\rangle.$$





## Long-range collectivity via subevent cumulants



## Summary of collectivity in small system

26

- Collectivity associated with ridge must involve many particles in multiple  $\eta$  ranges  $\rightarrow$  access via subevent cumulant methods

Challenge for both initial & final state scenarios?

- LHC  $v_2$  associated with ridge does not turn off at low  $N_{ch}$ .
- RHIC  $v_2\{4\}$  increases and approach  $v_2\{2\}$  at lower  $\sqrt{s}$

Challenge (or not) for initial state only scenarios?

- LHC  $v_2^{pp} < v_2^{pPb}$  in all  $N_{ch}$  and all  $\sqrt{s}$ .
- LHC  $c_2\{4\} < 0$  down to very low  $N_{ch}$  and more negative at higher  $p_T$ .
- RHIC geometry scan suggest ordering of  $v_n$  follows that of  $\epsilon_n$ .
- LHC 5%  $v_2$  at  $p_T \sim 10$  GeV.
- LHC symmetric cumulants  $SC(2,3)$ ,  $SC(2,4)$  similar to PbPb

Coexistence of initial state & final state scenarios?

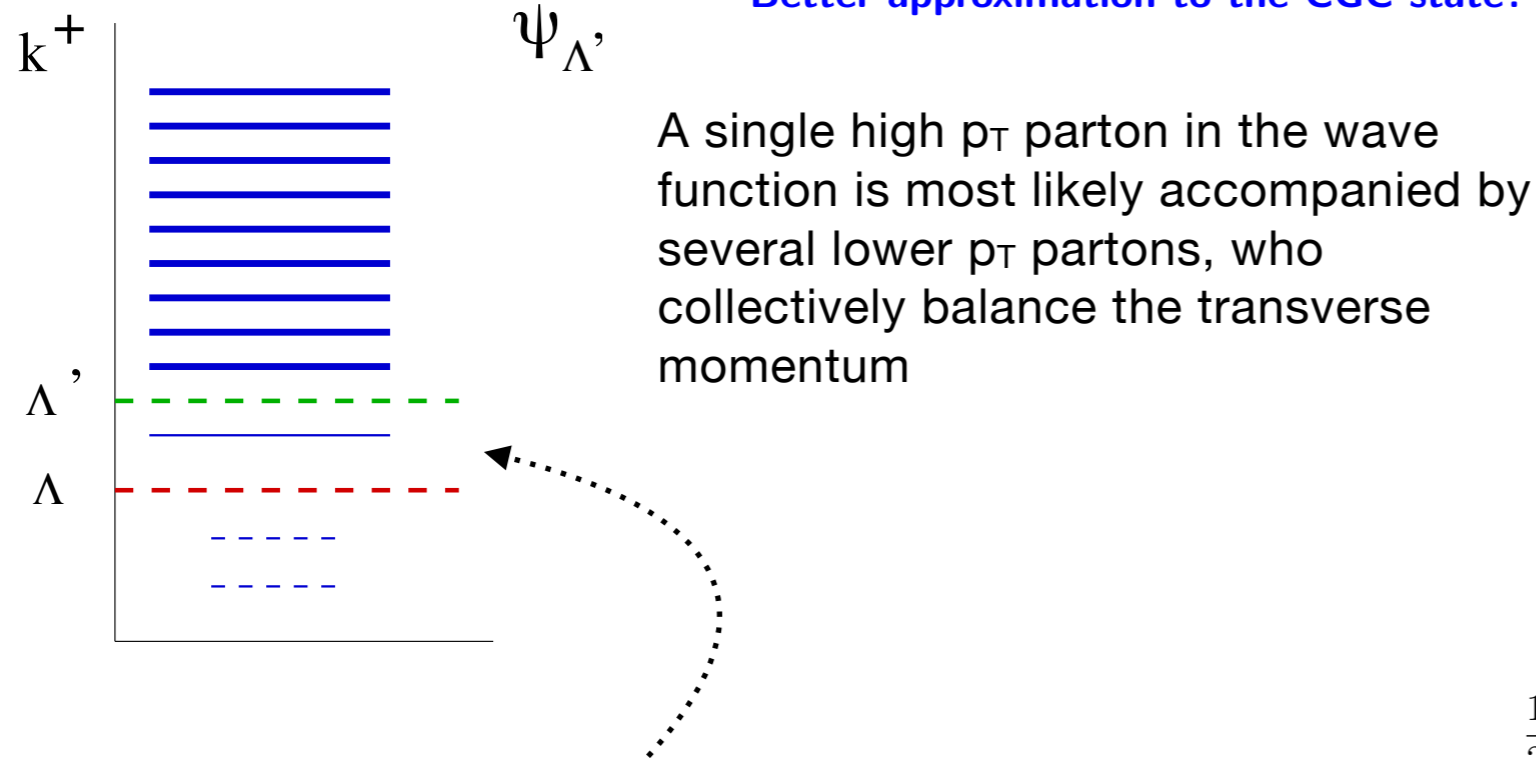
Key issue: How to constrain timescales for onset of collectivity?

pPb: methods consistent for  $N_{ch} > 100$ , but split below that

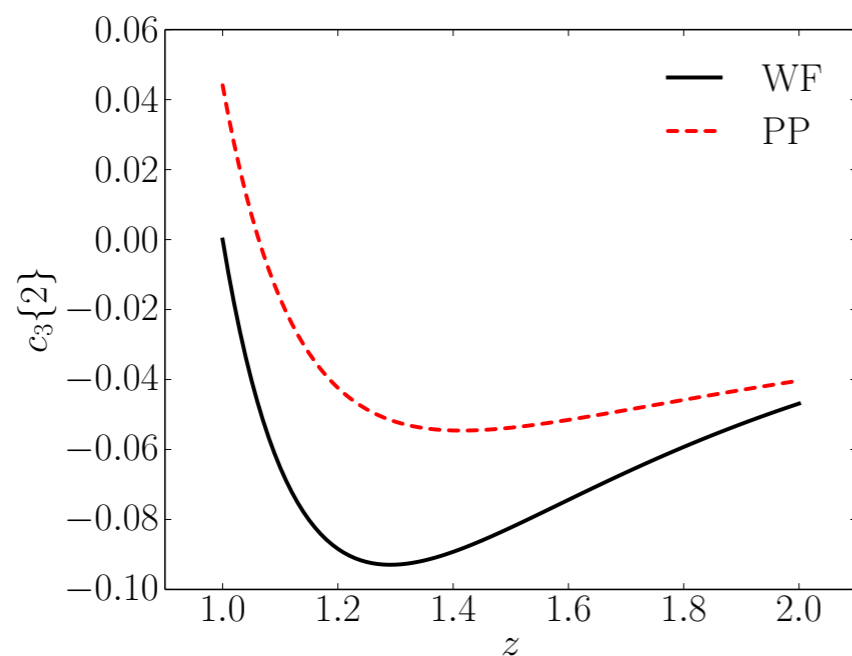
# Alex Kovner

Exploring correlations in the CGC wave function

Better approximation to the CGC state?

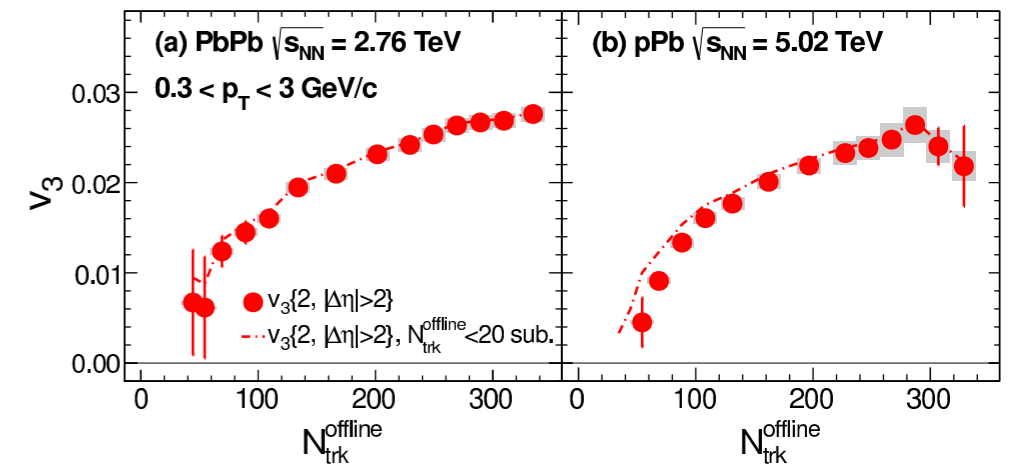


The reproduce  $v_3$  one should take into account correlations of soft gluons



third cumulants

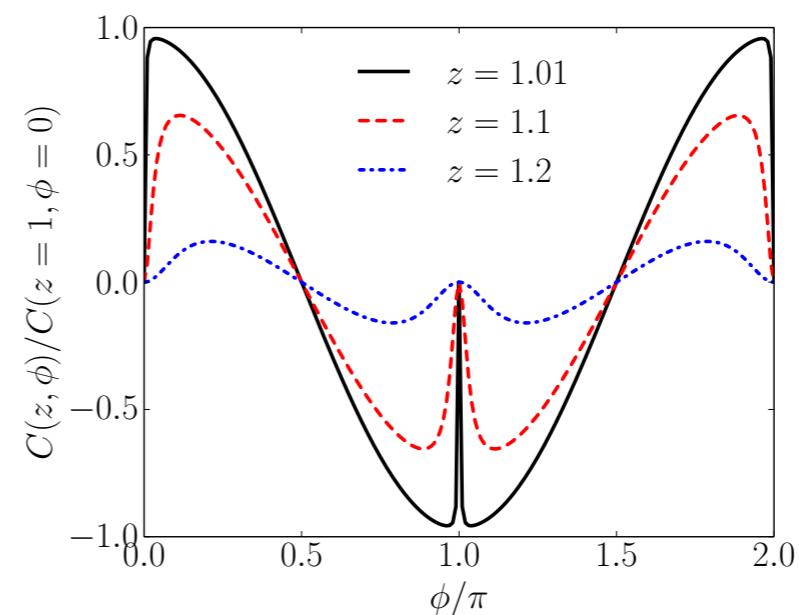
Study correlated structure of the initial wave function



double inclusive spectrum

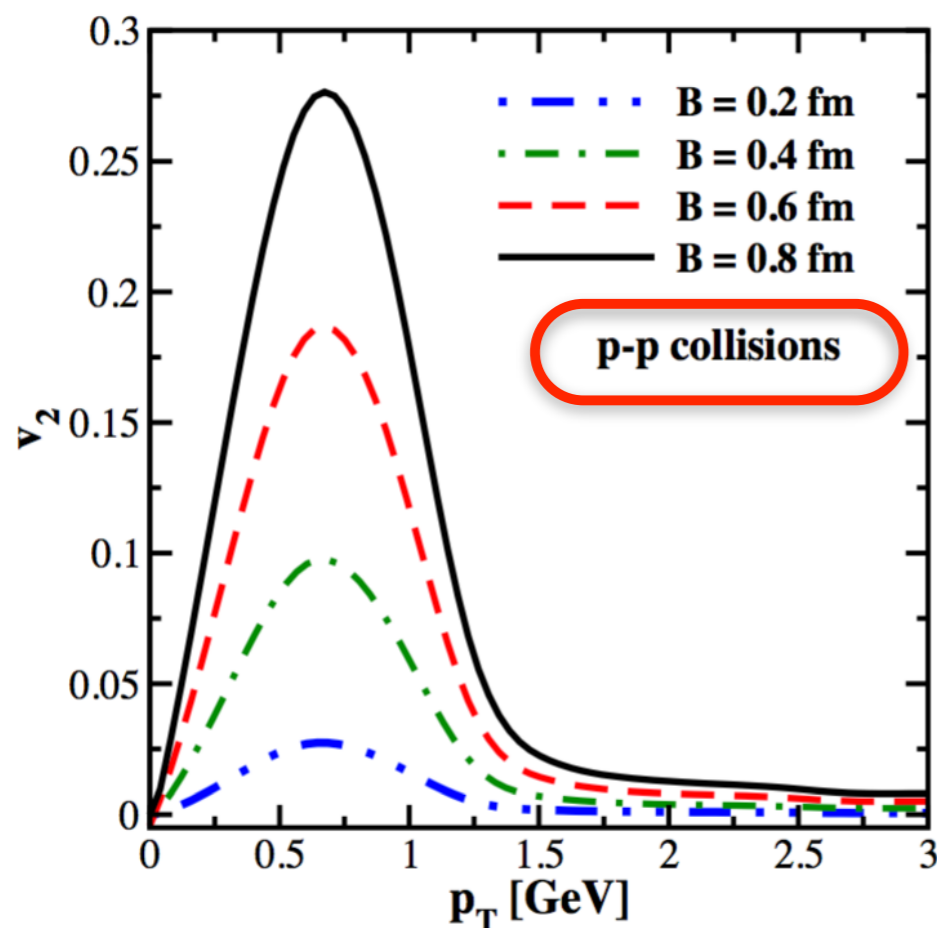
$$\frac{1}{2} \frac{\frac{d^6 N}{d^2 k d^2 p d\eta_k d\eta_p}(k, p) - \frac{d^6 N}{d^2 k d^2 p d\eta_k d\eta_p}(k, -p)}{\frac{N_c^4 S_\perp^2 \mu^4 \lambda^4}{k^4 p^4}} = \frac{\alpha_s N_c}{S_\perp p^2} C(z = p/k, \phi)$$

correlation function



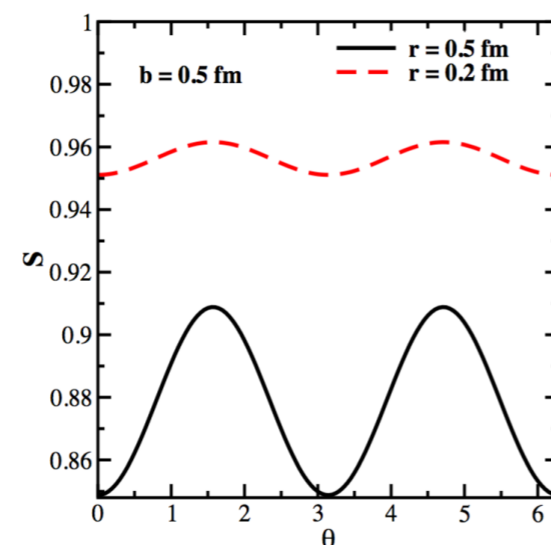
A. Kovner, M. Lublinsky and V. Skokov,  
arXiv:1612.07790 [hep-ph]

Color dipole orientation as an origin of elliptic flow

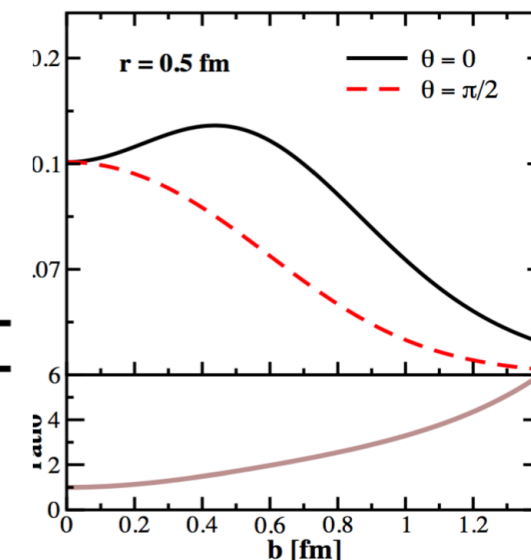


$$N_{2g}(b, r, \theta) = \mathcal{N}_0(b, r) + \mathcal{N}_\theta(b, r) \cos(2\theta)$$

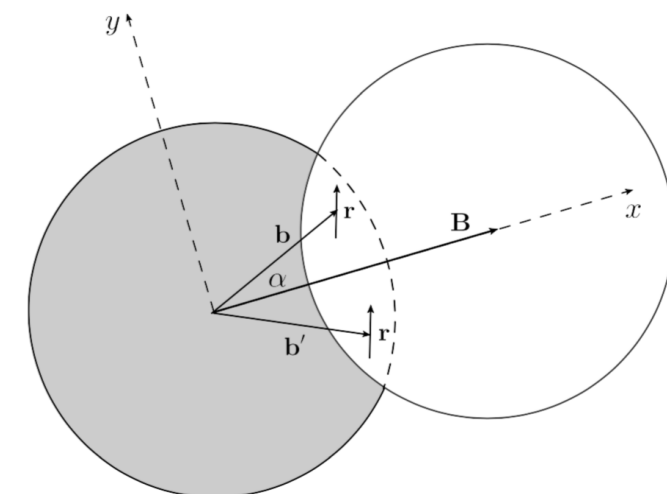
$$S(\mathbf{b}, \mathbf{r}) = \exp\{-N_{2g}(\mathbf{b}, \mathbf{r})\}$$



$$S(\mathbf{b}||\mathbf{r}) < S(\mathbf{b} \perp \mathbf{r})$$



The scattering is stronger when the dipole orientation is (anti)parallel to its impact parameter ( $\theta = 0$  or  $\theta = \pi$ ) than for a dipole perpendicular on  $\mathbf{b}$  ( $\theta = \pi/2$ ).



♦ The difference between ‘parallel’ and ‘perpendicular’ scattering increases with the dipole size  $\mathbf{r}$  and also with the impact parameter  $\mathbf{b}$ .

$$v_2(p, b) = -\frac{\int r dr e^{-\mathcal{N}_0(b, r)} J_2(pr) \int d\theta e^{-\mathcal{N}_\theta(b, r) \cos(2\theta)} \cos(2\theta)}{\int r dr e^{-\mathcal{N}_0(b, r)} J_0(pr) \int d\theta e^{-\mathcal{N}_\theta(b, r) \cos(2\theta)}}$$

$$= \frac{\int r dr e^{-\mathcal{N}_0(b, r)} J_2(pr) I_1(\mathcal{N}_\theta(b, r))}{\int r dr e^{-\mathcal{N}_0(b, r)} J_0(pr) I_0(\mathcal{N}_\theta(b, r))},$$

$$N(\mathbf{b}||\mathbf{r}) > N(\mathbf{b} \perp \mathbf{r})$$

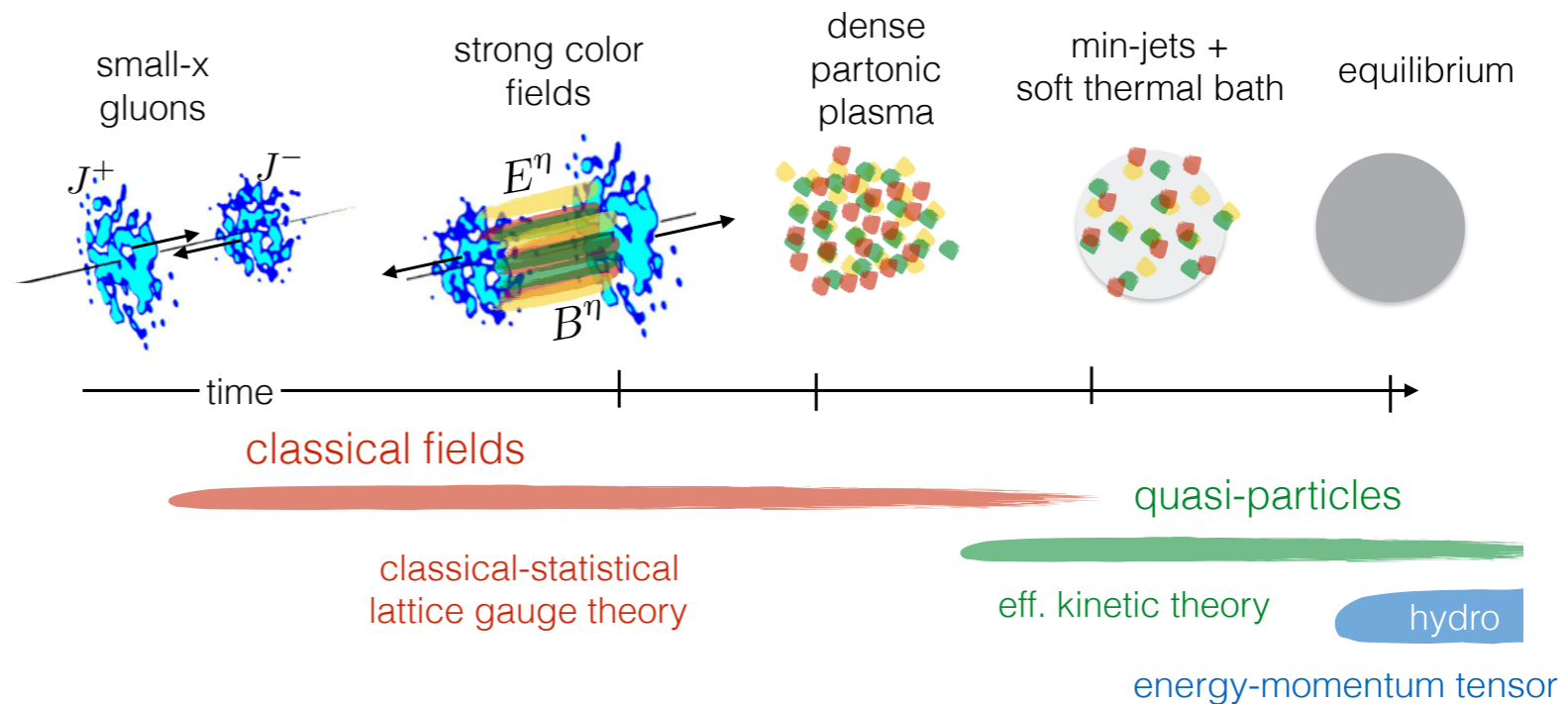
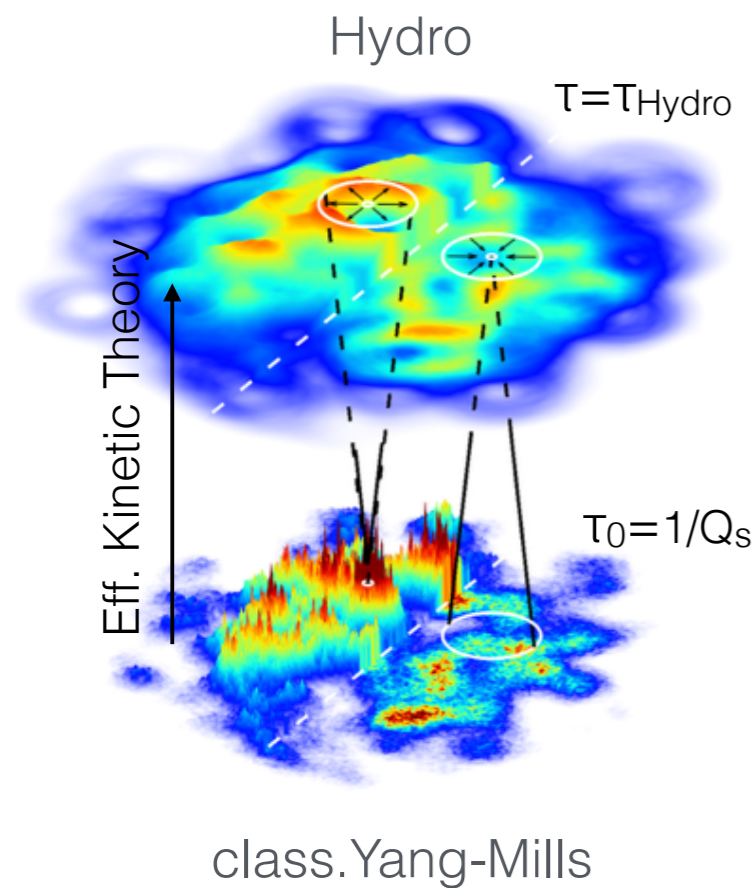
$$\mathcal{N}_\theta > 0 \longrightarrow v_2 > 0$$

# Sören Schlichting

Event-by-event pre-equilibrium dynamics — from gluon saturation towards the onset of hydrodynamics

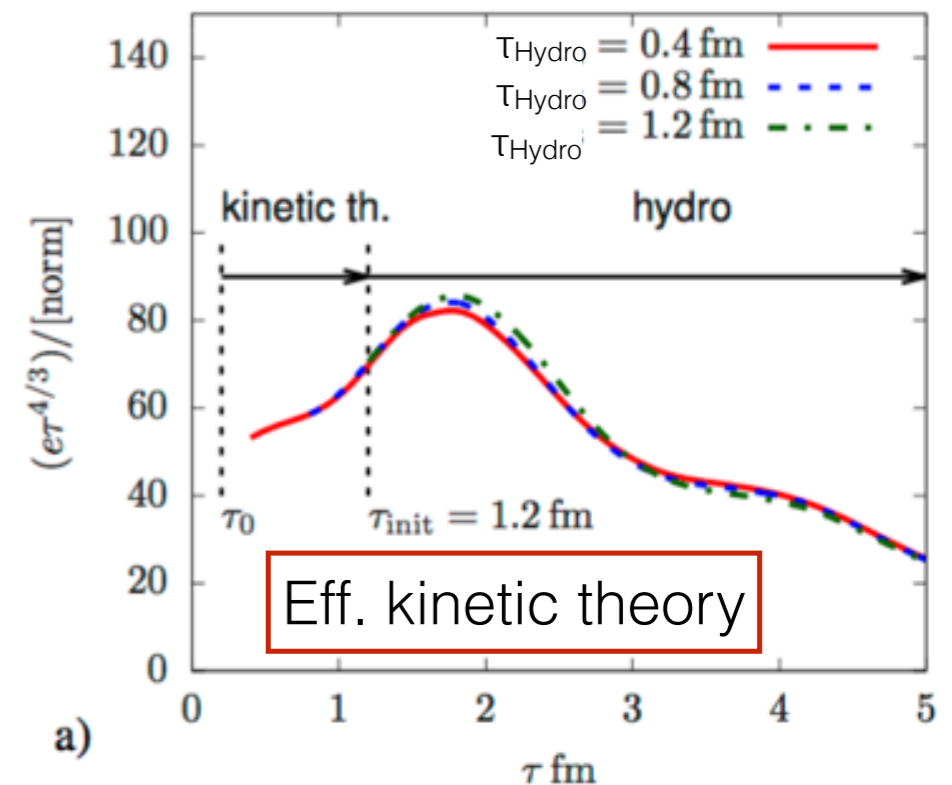
Extract energy-momentum tensor  $T^{\mu\nu}(x)$  from classical statistical lattice simulation

Evolve  $T^{\mu\nu}$  from initial time  $\tau_0 \sim 1/Q_s$  to hydro initialization time  $\tau_{\text{Hydro}}$  using eff. kinetic theory description



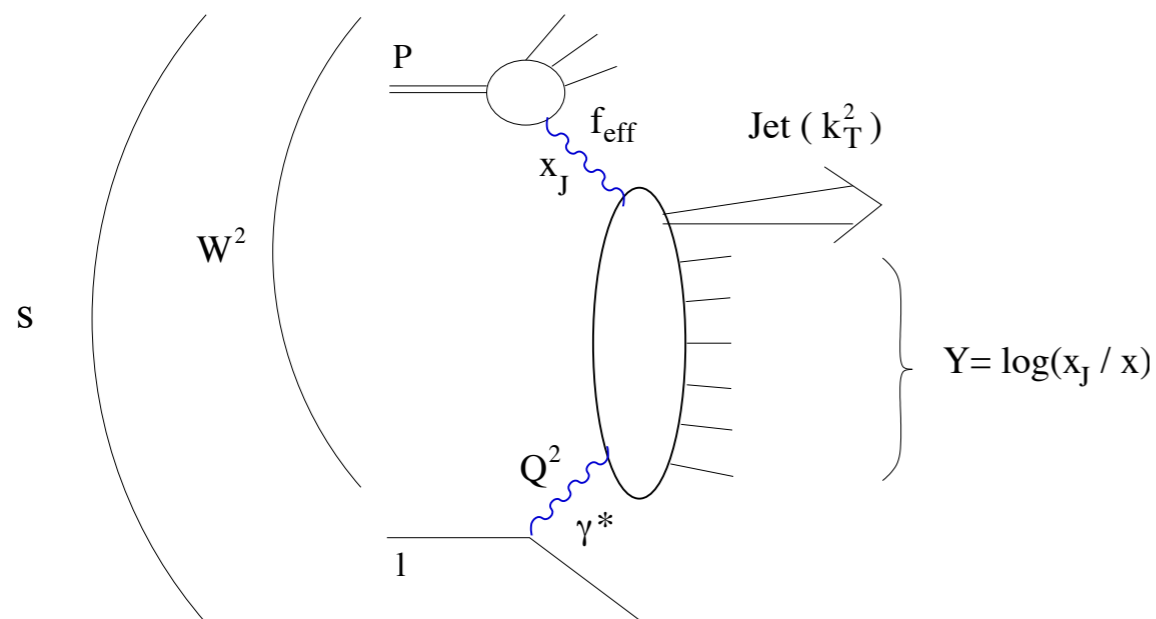
$$T^{\mu\nu}(\tau, x) = T_{BG}^{\mu\nu}(Q_s(x)\tau) + \int_{Disc} G_{\alpha\beta}^{\mu\nu}(\tau, \tau_0, x, x_0, Q_s(x)) \delta T^{\alpha\beta}(\tau_0, x_0)$$

Energy density in the central region of Pb+Pb collision



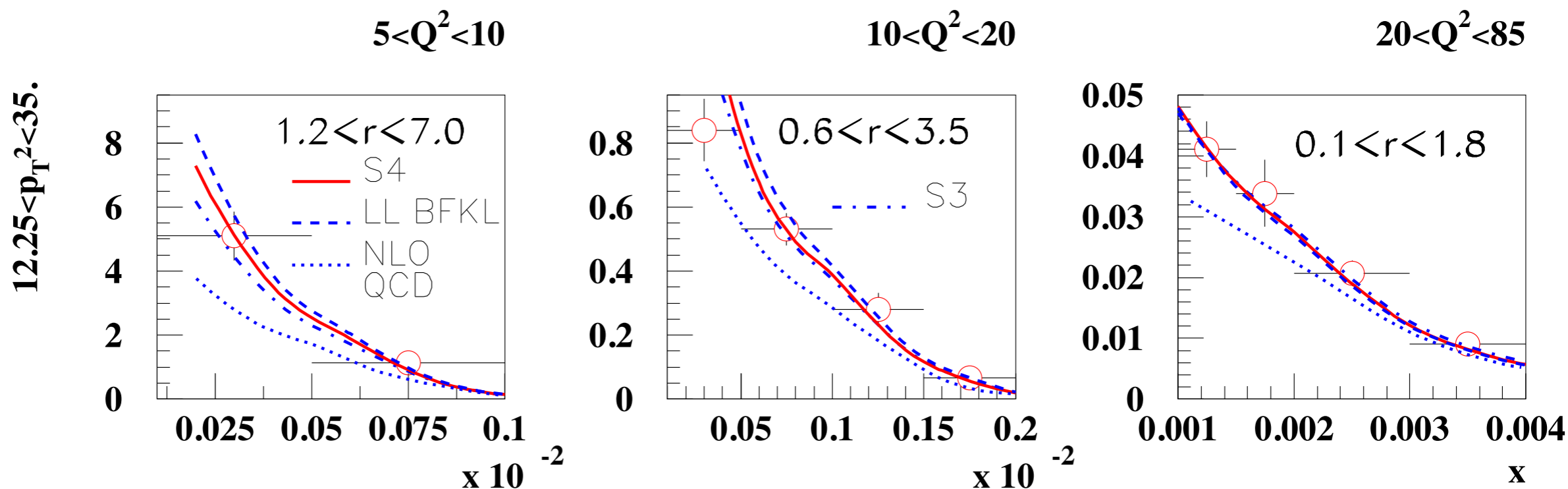
# Christophe Royon

## Probing the BFKL dynamics at hadronic colliders



- Full implementation of BFKL NLL kernel for many jet processes at HERA, Tevatron and LHC
- Forward jets at HERA: DGLAP NLO fails to describe HERA data, good description of data using BFKL NLL formalism
- **Mueller Navelet jets**: Larger decorrelation expected for BFKL formalism, unfortunately suffers a lot of higher order corrections, NLL BFKL with saturation in progress
- **Jet veto measurements in ATLAS**: mainly not related to BFKL resummation effects
- **Jet gap jets**:
  - NLL BFKL cross section implemented in HERWIG (Kernel)
  - Fair description of D0 and CDF data
  - Full NLL calculation in progress
  - Jet gap jet events in diffraction: clean tests of BFKL, modulo the survival probability (and its dependence on kinematics)

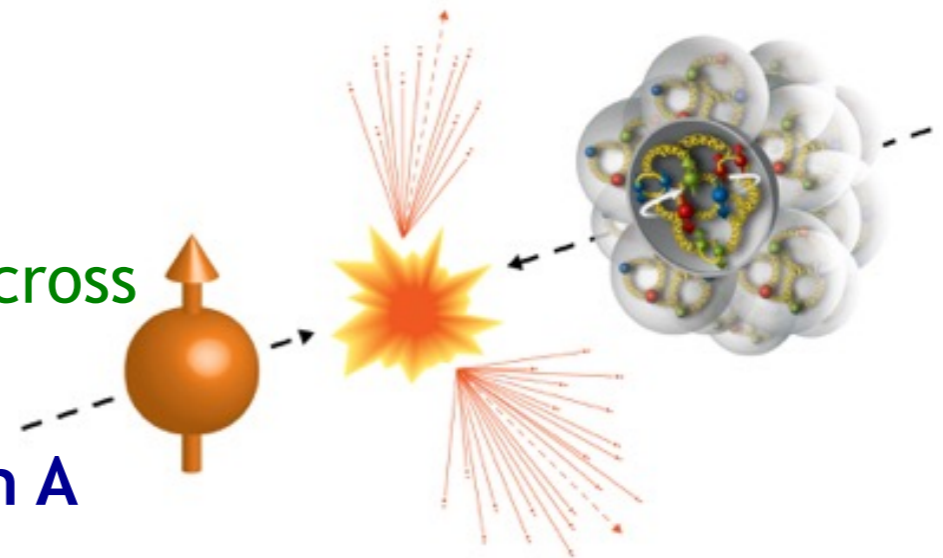
$d\sigma/dx dp_T^2 dQ^2$  - H1 DATA



- STAR @ RHIC can reach the saturation region at forward rapidity in p+A
- Polarized protons
- Scanning A  $\rightarrow$  Au, Al, ...
- $p_T$  scan and rapidity/x scan may allow to cross saturation scale  $Q_s^2(x)$

STAR can study evolution of  $Q_s^2(x)$  with A

- First results on Transverse Single Spin Asymmetry  $A_N$ 
  - Small to no suppression in p+Au
- Results coming soon for
  - Di-hadron angular correlation
  - $R_{pA}$  for  $\pi^0$  and photons



RHIC 2015

- $\vec{p} + p, L_{\text{int}} = 40 + 50 \text{ pb}^{-1}$
- $\vec{p} + \text{Al}, L_{\text{int}} = 1.0 \text{ pb}^{-1}$
- $\vec{p} + \text{Au}, L_{\text{int}} = 0.45 \text{ pb}^{-1}$

- Future forward upgrade at STAR is proposed, including saturation/pA physics at forward and

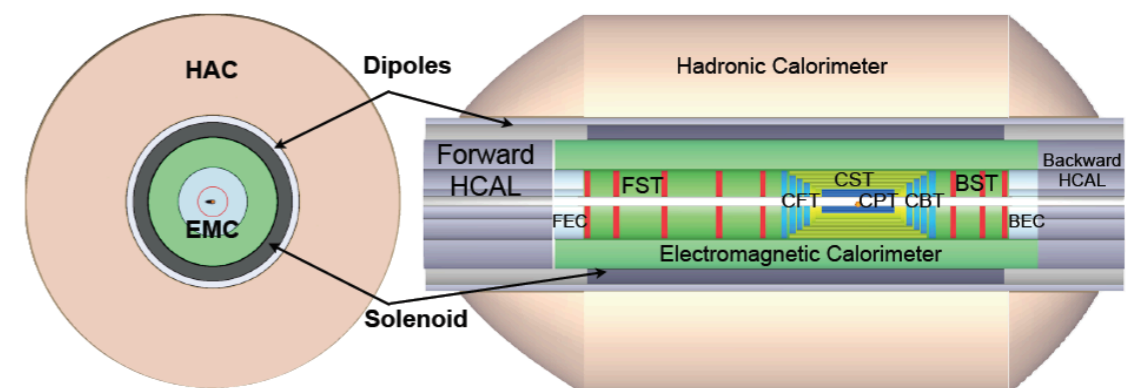
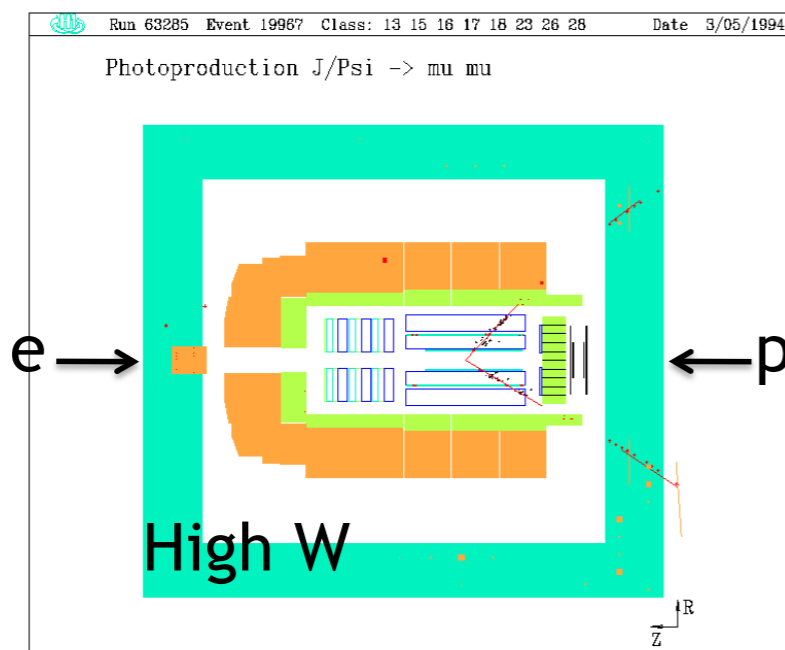
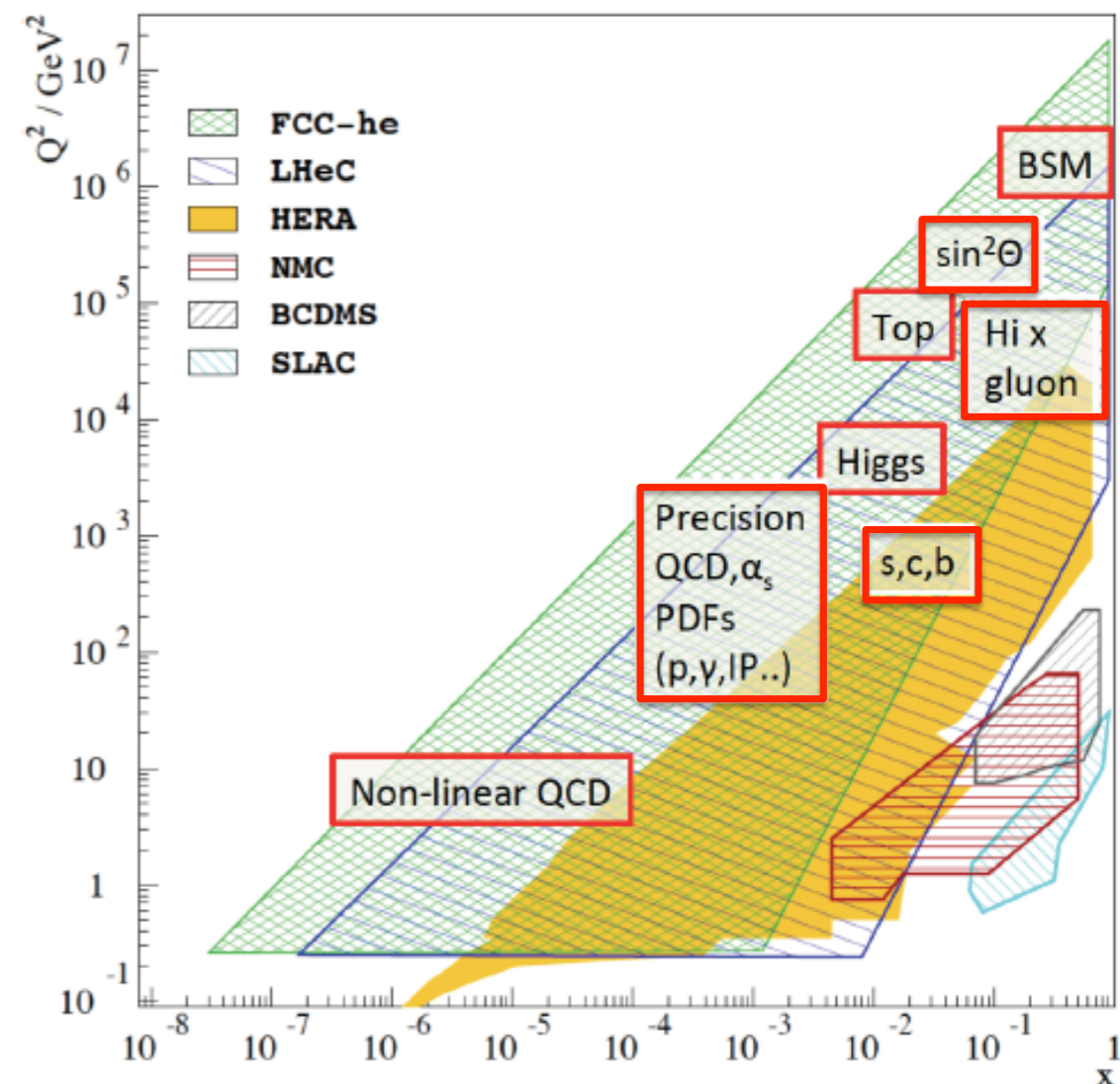
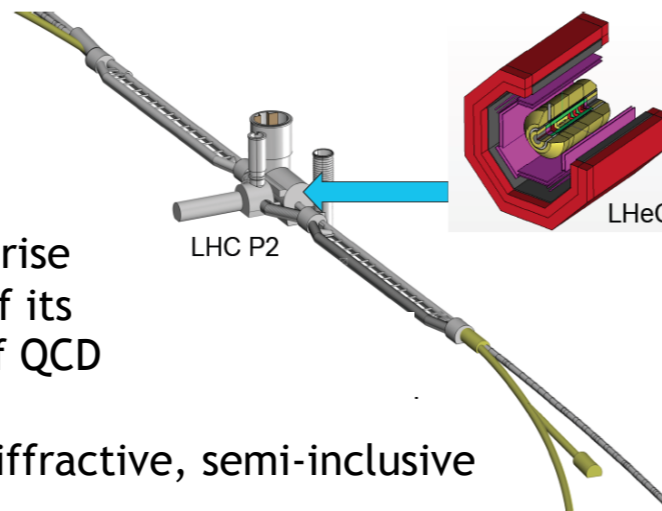
STAR at RHIC offers unique opportunities to study low-x

# Paul Newman

## Low x physics and saturation: from HERA to future DIS and the LHeC

### Summary

- Future DIS facilities are vital to fully establish and characterise saturation and the dynamics of its onset → the energy frontier of QCD
- Needs ep and eA inclusive, diffractive, semi-inclusive over a range of energies
- Complementarity between EIC and LHeC
- LHeC working towards next CERN Council European Strategy exercise (2020) with a view to running in later stages of LHC (post-LS4, from ~2031) ... lots to do!



INT workshop

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Application form  
For full consideration, please apply  
by October 31, 2016.

Talks online

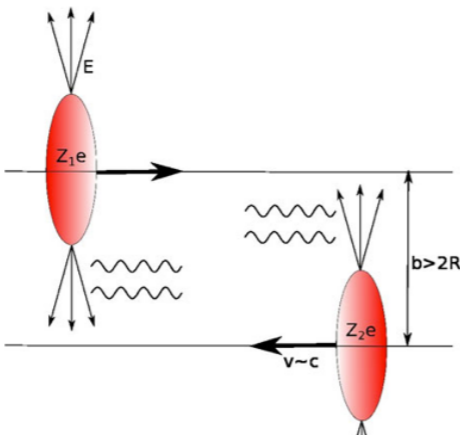
Exit report

Visitor Information

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Obtain an INT receipt number

INT Workshop INT-17-65W  
Probing QCD in Photon-Nucleus Interactions at RHIC and LHC: the Path to EIC  
February 13 - 17, 2017



<http://www.int.washington.edu/PROGRAMS/17-65w/>

DIS 2017

25TH INTERNATIONAL WORKSHOP ON  
DEEP-INELASTIC SCATTERING  
AND RELATED TOPICS

DIS 17

3 – 7 APRIL 2017  
UNIVERSITY OF BIRMINGHAM, UK

WG1 Structure Functions and Parton Densities  
WG2 Low-x and Diffraction  
WG3 Higgs and BSM Physics in Hadron Collisions  
WG4 Hadronic and Electroweak Observables  
WG5 Physics with Heavy Flavours  
WG6 Spin and 3D Structure  
WG7 Future of DIS

WG2 conveners

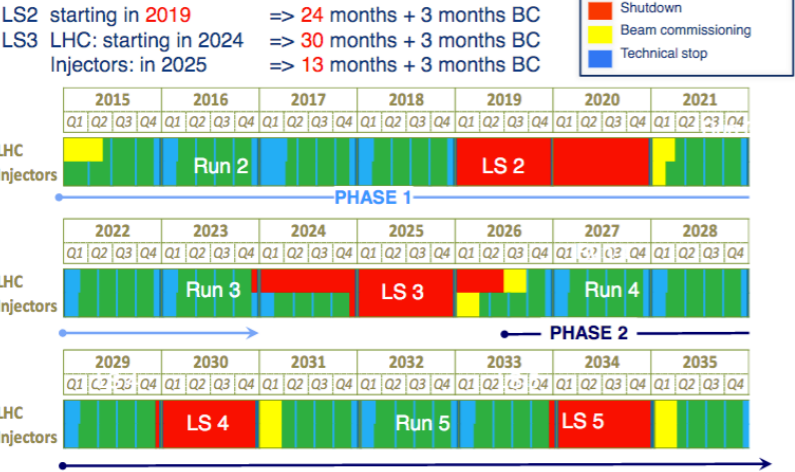
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Anna Staśto  
Daniel Tapia Takaki

AGH  
PennState  
Eberly College of Science

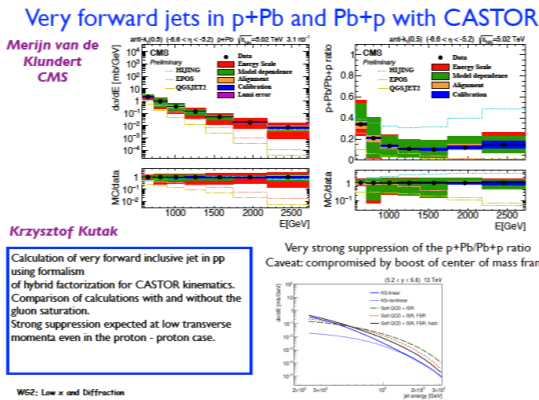
LHC schedule

CERN Yellow Report: *CERN-PH-LPCC-2015-001*

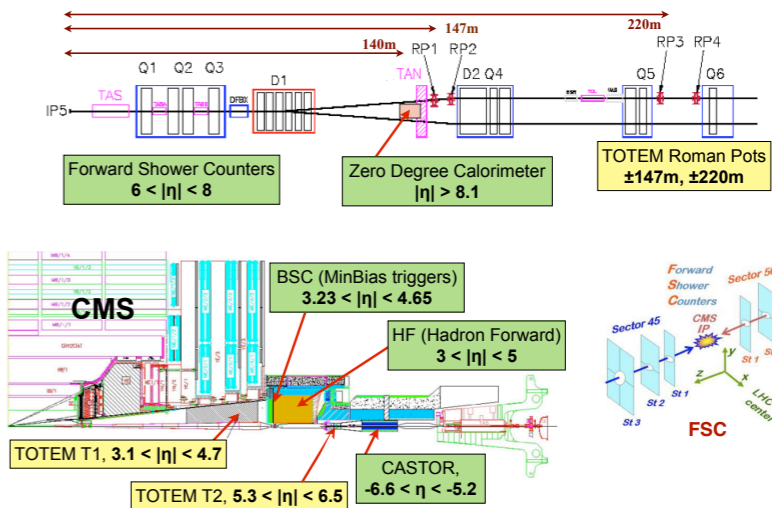
LHC roadmap: according to MTP 2016-2020 V1



From DIS 2017 —sensitive to saturation ...Not UPCs but possible to use CASTOR in UPC studies in the future



Forward detectors at CMS



BNL-113876-2017

**RIKEN/RBRC Workshop**  
Saturation: Recent Developments,  
New Ideas and Measurements  
April 26-28, 2017

Tuomas Lappi (U. Jyvaskyla)  
Vladimir Skokov (RBRC)  
Andrey Tarasov (BNL)  
Thomas Ullrich (BNL/Yale U)

**Proceedings of RIKEN BNL  
Research Center Workshop**

Volume 129



- Small  $x$  evolution and hadron production at NLO
- Spin at small  $x$
- TMD physics
- Small- $x$  physics in  $e+p$  and  $e+A$  DIS
- Particle production in  $pA$
- **Correlations**

**27 Presentations in 3 days**

- I. Balitsky.....*Higher-twist corrections to gluon TMD factorization*  
G. Beuf.....*Full NLO corrections for DIS structure functions in the dipole factorization formalism*  
S. Caron-Huot.....*Linear and nonlinear small- $x$  evolution in perturbation theory*  
S. Caron-Huot.....*Nuclear Theory/RIKEN Seminar: Analyticity in Spin and Causality in Conformal Theories*  
G. Chirilli.....*Rapidity factorization of high-energy scattering processes at NLO*  
A. Dumitru.....*Fluctuations of the gluon distribution at small- $x$ : correlation of multiplicity and transverse momentum fluctuations*  
K. Dusling.....*Collectivity from the initial state: four-particle correlations in proton-nucleus collisions*  
K. Fukushima.....*Particle production in CGC*  
E. Iancu.....*Particle production in proton-nucleus collisions beyond leading order*  
J. Jia.....*Flow in small systems*  
D. Kharzeev.....*Deep inelastic scattering as a probe of entanglement*  
Y. Kovchegov.....*Small- $x$  asymptotics of the quark helicity distribution*  
A. Kovner.....*Exploring correlations in the CGC wave function: odd azimuthal anisotropy*  
M. Lublinsky.....*From light-cone wave function to NLO JIMWLK*  
D. Neill.....*Finding small- $x$  like evolution in QCD final state dynamics: the problem of non-global logarithms*  
P. Newman.....*Low- $x$  physics and saturation studies for the Large Hadron Electron Collider*  
A. Ogawa.....*Polarized  $p+A$  physics at forward rapidity at STAR*  
R. Paatelainen.....*Toward higher-order accuracy in LCPT*  
T. Peitzmann.....*Opportunities for forward photon measurements in ALICE at the LHC*  
A. Rezaeian.....*Elliptic flow from color-dipole orientation in  $pp$  and  $pA$  collisions*  
C. Royon.....*Forward jets at HERA and Mueller-Navelet and jet gap jet events at Tevatron and LHC*  
B. Schenke.....*Subnucleonic fluctuations, diffraction, and small- $x$  evolution*  
S. Schlichting.....*Event-by-event pre-equilibrium dynamics — from gluon saturation toward the onset of hydrodynamics*  
M. Sievert.....*Quark helicity evolution at small- $x$*   
A. Stasto.....*Low- $x$  physics and prompt neutrino production*  
D. Tapia-Takaki.....*Studying gluon saturation and nuclear effects using forward heavy-ion probes and UPCs at LHC*  
R. Venugopalan.....*Ridge-like correlations in small systems: status and problems*